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Transmission Dynamics of a COVID-19 Outbreak in a Homeless Shelter in Chicago, Illinois, USA

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Transmission Dynamics of a COVID-19 Outbreak in a Homeless Shelter in Chicago, Illinois, USA

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Referat

In this thesis, we implement, correct, and modify the compartmental model described in "Transmission Dynamics of Large Coronavirus Disease Outbreak in Homeless Shelter, Chicago, Illinois, USA, 2020" [5]. Our objective is to engage in reading and understanding scientific literature, reproduce the results, and modify or generalize an existing mathematical model. We provide an overview of epidemiological models, focusing on simple compartmental SEIR models. We correct inaccuracies and misprints in the original implementation and use the limited-memory Broyden–Fletcher–Goldfarb–Shanno [13] algorithm to fit the model's parameters. Furthermore, we modify the model of [5] by introducing an additional compartment. The resulting model has a more intuitive interpretation and relies on fewer assumptions. We also perform the fitting process for this alternative model. Finally, we demonstrate the advantages of our modified implementations and discuss other possible approaches.

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1 Introduction

The aim of this thesis is to implement and modify the model of the coronavirus disease 2019 (COVID-19) outbreak in a homeless shelter in Chicago, Illinois, USA, in 2020, which was described in [5]. The objective is to read and understand scientific literature, reproduce the results, and modify or generalize an existing mathematical model.

The emergence of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) causing COVID-19 has led to a pandemic with a profound impact on the world, affecting billions of people and causing widespread disruption to daily life. The virus was first identified in Wuhan, China in December 2019 and has since spread to become a global pandemic. This led the WHO to declare a *Public Health Emergency of International Concern* (PHEIC) on 30 January 2020, and to characterize the outbreak as a pandemic on 11 March 2020 [17].

For epidemiological prediction and to support decision-making, mathematical models are standard tools in epidemiology. Such models are based on a variety of factors, including the biology of the disease, the characteristics of the population, and the effectiveness of interventions such as social distancing and vaccination. Predictions are utilized to guide decisions in public health regarding planning for pandemics, allocating resources, implementing measures for social distancing, and other interventions [6].

1.1 Biology of the virus

COVID-19 is caused by SARS-CoV-2, which belongs to the broad family of viruses known as coronaviruses. These are positive-sense, single-stranded RNA viruses (Baltimore Group IV). SARS-CoV-2 is an enveloped β -coronavirus, with a genetic sequence very similar to SARS-CoV-1 (80%) and bat coronavirus RaTG13 (96.2%). The relatively large genome (29.7knt) codes, like all coronaviruses, for four structural proteins, the nucleocapsid protein (N), spike (S) glycoprotein coating the viral envelope, and the envelope (E) and membrane (M) proteins [3].

The virus primarily invades the respiratory system and gains entry into human cells via the angiotensin-converting enzyme 2 (ACE2) receptor. Upon gaining entry, the viral RNA is inserted into a host cell, which uses the host cell's replication mechanism to multiply. The host cell releases new virions that infect other cells [3].

The peak SARS-CoV-2 load in the respiratory tract is observed at the time of symptom onset or in the first week of illness, with subsequent decline thereafter, indicating the highest infectiousness potential just before or within the first five days of symptom onset [3].

1.2 Disease spread and symptoms

SARS-CoV-2 is an airborne virus, which primarily is transmitted through the air are inhaled at short range (short-range aerosol or short-range airborne transmission). The virus can also spread by touching a surface or object contaminated with the virus and then touching one's mouth, nose, or eyes. The virus is especially spreading well in enclosed spaces with poor ventilation. It is important to note that people who are infected with COVID-19 can be contagious even if they do not have symptoms [18].

The symptoms of COVID-19 can vary from person to person, but the most common symptoms include fever or chills, cough, shortness of breath or difficulty in breathing, fatigue, muscle or body aches, headache, loss of taste or smell, sore throat, congestion or runny nose, nausea, or vomiting. It is important to note that not everyone with COVID-19 will experience all of these symptoms, and some people may not have any symptoms at all [8]. However, COVID-19 can be severe, if the virus enters the lower respiratory tract. Individuals with an intense infection need hospitalization or even ICU treatment. Risk factors associated with severe COVID-19 cases are age, obesity, and co-morbidities like diabetes, HIV, etc. [7]. Until now, almost 7 million deaths worldwide have been attributed to COVID-19 [22].

1.3 Epidemiological models and evaluation

Many approaches to epidemiological models exist, such as deterministic (SIR-type), individualbased, and stochastic models. The model and the approach need to be tailored to a specific situation to be accurate [16].

Evaluating epidemiological models, such as for the spread of SARS-CoV-2 involves several steps and considerations:

- Understanding the model type: Models can be deterministic or stochastic. Deterministic models as those considered here are typically compartmental models based on the SIR (susceptible, infected, recovered) model. They typically vary in their complexity and include additional compartments such as exposed or asymptomatic individuals, or age groups [21]. Whether a determinist or stochastic model is more appropriate depends on the magnitude of the disease outbreak and the phase to be studied. In general, due to the stochastic nature of a disease outbreak, stochastic models are preferable at the onset of an epidemic, while deterministic models are more convenient to model an ongoing epidemic outbreak in a sufficiently large population in which random effects can be ignored [2].
- Estimating key parameters: Important parameters include the basic reproduction number R_0 , which indicates how many people an infected person will infect on average, the case fatality rate, which is the proportion of infected people who die from the disease, the incubation period, the duration of various phases of the disease, the contact behavior, etc. [21]. Ideally, a model is set up in such a way that parameters can be estimated intuitively.
- Assessing assumptions: Models require assumptions about the biology of the disease (mode of transmission, transmissibility, etc.) which must be based on biological facts about the underlying pathogen. Model assumptions should be in accordance to empirical evidence of past and current disease outbreaks. Empirical evidence includes facts about the incubation period (i.e., the time between infection and disease outbreak) and latency periods (i.e., the time between infection and infectiousness), the contact

behavior, rates of transmission among different age groups, and the number of people who are immune [21]. These assumptions should be reasonable and based on available data.

• **Comparing Predictions with Real-World Data**: Models should be validated by comparing their predictions with actual case data. Discrepancies can help to refine the model [21].

It is important to remember that while models can provide important insights, they are simplifications of reality and their predictions are subject to uncertainty. Therefore, their results should be interpreted carefully.

1.4 Background

Persons living in congregate settings, including homeless shelters, have been disproportionately affected by the COVID-19 pandemic [10]. Individuals who are homeless are more susceptible to SARS-CoV-2 infection due to their communal living conditions and challenges in maintaining physical distancing. Additionally, they are more likely to experience severe COVID-19 symptoms because of the higher occurrence of their underlying health condition [9].

In this thesis, we implement and modify the model from [5]. Community transmission was documented in Chicago, Illinois, USA, in early March, and a statewide stay-at-home order was implemented on March 14, 2020. From March to May 2020, many homeless shelters in Chicago experienced COVID-19 outbreaks [10].

We reproduce the compartmental mathematical model of an outbreak of COVID-19 in Chicago's largest homeless shelter, and correct errors and inaccuracies in the original article [5]. Furthermore, we introduce an improved model that allows different incubation periods for symptomatic and asymptomatic individuals. The advantage of this extension is that the model interpretation is more intuitive and the extended models can be more easily parametrized.

2 Methods

Here, we introduce the background and model of [5]. The presentation follows [5].

2.1 Population to be modeled

The city of Chicago, Illinois, USA, hosts the largest homeless shelter in the Midwest of the United States, The Pacific Garden Mission (PGM). The spread of COVID-19 at PGM was modeled in [5], based on the contact behavior and population characteristics described below.

The shelter can house up to 950 people. The majority of individuals at PGM, known as overnight residents, spend the night in large dormitories separated by gender, each holding fewer than 200 people. These residents typically spend their days outside the shelter or in large day rooms, also separated by gender. Each night, they return to the same dormitories but with different bed assignments. Prior to the implementation of the stay-at-home order, residents could stay for a maximum of 30 days. A smaller group of residents, referred to as program residents, have a different routine. They sleep in smaller dormitories that range from 4 to 20 beds and can spend their days either in the dormitories, day room, accessing services, or outside the shelter. Depending on the services they are using, these residents can stay at the shelter for up to two years. When the stay-at-home order was issued, more than 50 residents and staff left PGM. Following this order, residents were not allowed to leave or return to the shelter unless they held essential roles such as employment in critical infrastructure. As a result, 445 residents and staff remained at PGM [5].

2.2 Origin of the Outbreak at PGM

On March 14, 2020, a female resident in her 40s from the Pacific Garden Mission (PGM) was diagnosed with COVID-19 at an acute-care hospital. Following this, nine other residents from PGM started showing symptoms and sought medical attention in March. By the end of March, a total of 10 individuals had been confirmed to be infected with SARS-CoV-2 [5].

2.3 The Four-Phase Timeline

For the purposes of the modeling and analysis, the timeline of the COVID-19 outbreak was split into 4 phases [5].

Phase 1 (March 1, 2020 – March 29, 2020): there was no established routine for symptom screening or testing for SARS-CoV-2. If residents reported COVID-19 related symptoms to the staff, they were transported to nearby acute-care hospitals for diagnostic tests and medical treatment [5].

Phase 2 (March 30, 2020 – April 4, 2020): the measures to control infection were extended, and included frequent cleaning of surfaces that are often touched, increasing the supply of hand hygiene products like alcohol-based hand sanitizers, enforcing physical distancing rules, and providing masks to all residents (enough masks for everyone were obtained by April 2). Furthermore, daily temperature checks and symptom screenings were initiated. Residents showing potential COVID-19 symptoms (referred to as persons under investigation [PUIs]) were isolated within the facility. A resident was considered a PUI if they had a measured fever of more than 37.8°C or reported symptoms such as a subjective fever, dry cough, shortness of breath, muscle pain, sore throat, headache, fatigue, or if they had been in close contact with a person confirmed to have SARS-CoV-2 infection. This was in line with the definition provided by the Centers for Disease Control and Prevention (CDC) at that time [5].

Phase 3 (April 5, 2020 – April 7, 2020): persons under investigation (PUIs) were moved to an offsite hotel for isolation in individual rooms. Residents who started showing symptoms were, on average, transferred to the hotel one day after they reported their symptoms. In the meantime, they were isolated onsite. At the same time, residents who were at high risk of severe disease due to their age or underlying health conditions (as determined by an onsite doctor) were also moved offsite to individual hotel rooms for protective housing. A more stringent shelter-in-place order was implemented on April 7, 2020. After this date, residents were not allowed to return [5].

Phase 4 (April 8, 2020 – May 13, 2020): was marked by repeated cycles of extensive SARS-CoV-2 testing. From April 8 to 10, healthcare workers from local academic healthcare centers collected nasopharyngeal swab specimens from all staff and residents who consented. The testing was offered to all residents and staff who had not previously tested positive for SARS-CoV-2. The specimens were tested for SARS-CoV-2 using RT-PCR, and related clinical and epidemiological data were gathered using a standardized questionnaire as previously described [12]. Test results were typically returned 48 hours after the collection of the specimen. Onsite isolation units, which were staffed by clinicians 24 hours a day and had the capacity to accommodate 160 individuals, were set up for residents who tested positive for SARS-CoV-2. These isolation units were equipped with a personal protective equipment (PPE) station for medical personnel. Staff and residents received regular training on PPE use, and the PPE station was consistently stocked with surgical and N95 masks, gloves, and gowns. Additional rounds of extensive testing were conducted on April 18, April 28, and May 6. After each round, residents were isolated as previously described. Residents who developed symptoms between testing rounds but did not have an RT-PCR-confirmed diagnosis continued to be transferred to the hotel [5].

2.4 SEIR Model

For many diseases, there is a latent phase during which the individual is infected but not yet infectious. This delay between the acquisition of infection and the infectious state can be incorporated within the SIR model by adding a latent/exposed population, E, and assuming

that Susceptible first enter the latent phase before they become infectious [11]. The resulting **SEIR** model is a compartmental model in epidemiology that is used to describe the spread of an infectious disease. The population is divided into four compartments:

- 1. **Susceptible** (*S*): individuals who have not yet been infected but are susceptible to the disease;
- 2. **Exposed** (*E*): individuals who have been infected but are not yet infectious themselves. They are in the latency period;
- 3. **Infectious** (*I*): individuals who have been infected and are capable of infecting susceptible individuals;
- 4. **Removed** (*R*): individuals who have been infected and have either recovered from the disease and are considered permanently immune, or died.

The infectious rate, β , controls the rate of spread, which represents the probability of transmitting the disease between a susceptible and an infectious individual. The rate, σ , of which exposed individuals become infectious (the average duration of incubation period is $\frac{1}{\sigma}$). The recovery rate, $\gamma = \frac{1}{D}$, is determined by the average duration, D, of the infection [11].

The SEIR model is described as a non-linear system of ordinary differential equations. The number of Susceptible, exposed, infectious, and removed change according to

$$\frac{dS}{dt} = -\beta \times S \times I, \tag{2.1}$$

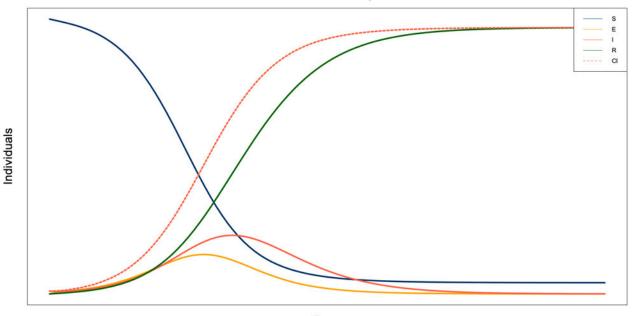
$$\frac{dE}{dt} = \beta \times S \times I - \sigma \times E, \tag{2.2}$$

$$\frac{dI}{dt} = \sigma \times E - \gamma \times I, \tag{2.3}$$

$$\frac{dR}{dt} = \gamma \times I. \tag{2.4}$$

A key parameter in the SEIR model is the basic reproduction number (R_0). It represents the expected number of secondary infections produced by a single infected individual introduced into a population where all other individuals are susceptible, and is calculated as $R_0 = \frac{\beta}{\gamma}$ [11].

SEIR model example



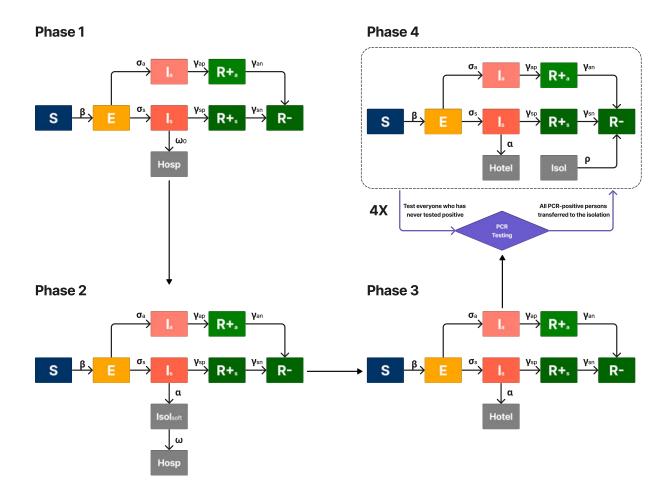
Days

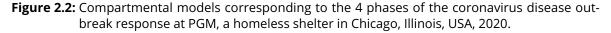
Figure 2.1: An example plot of SEIR model with parameters $\sigma = 0.26$, $\beta = 0.5$, $\gamma = 0.15$ and starting compartments S = 100, E = 0, I = 1, R = 0, where S - susceptible, E - exposed, I - infected, R - recovered, CI - cumulative infected.

2.5 Chicago Shelter Model

To understand the dynamics of the PGM outbreak, the SEIR compartmental model was adapted. The constructed model consisted of 4 separate systems of ordinary differential equations corresponding to the 4 phases of outbreak response at PGM [5]. (Figure 2.2)

In each of these phases, the corresponding model parameters and compartments were altered to represent relevant screening, testing, and isolation measures. The model introduced a compartment for isolation units in phase 4 and a compartment for isolation dorms (before the set-up of fully staffed, PPE-stocked isolation units) in phases 2 and 3. Finally, the model included compartments for persons who were removed to the hotel or a hospital [5].





2.5.1 Transmission Rate

Because transmission rate (β) varies as a function of the number of contacts per infectious person and probability of transmission given contact, it is expected to vary over time in the model because of removal of persons from the population (primarily into isolation units) and infection control measures [5].

Considering that, β at any given time point was calculated by using following the transition equation

$$\beta = \beta_0 - \frac{\beta_0 - \beta_f}{1 + e^{(t - t_{\text{Trans}})/k}},$$
(2.5)

where β_0 corresponds to the initial transmission rate, $\beta_f = \beta_{f_pct_\beta_0} \times \beta_0$ (where $\beta_{f_pct_\beta_0}$ corresponds to final transmission rate as a percentage of β_0), t_{Trans} represents the time point at which β reaches a value halfway between β_0 and β_f , and k represents the rate of transformation between initial and final β [5].

Transition equation correction

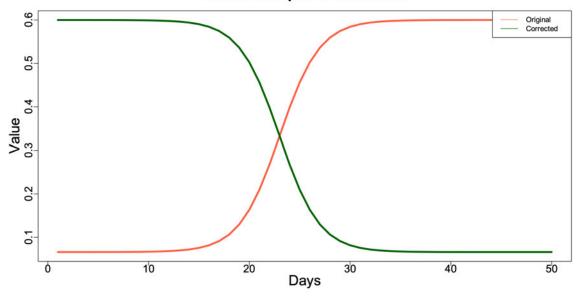


Figure 2.3: Comparison of the original β function with the corrected one. Parameters values are $\beta_0 = 0.6$, $\beta_f = 0.066$, $t_{\text{Trans}} = 23$ and k = 2.

However, the original transition equation produces a function increasing with time, which contradicts the expectation stated (Figure 2.3). To correct the situation, the equation was changed to

$$\beta = \beta_0 - \frac{\beta_0 - \beta_f}{1 + e^{(t_{\text{Trans}} - t)/k}}.$$
(2.6)

2.5.2 Differential Equations

The ordinary differential equations (ODEs) from the "Transmission Dynamics of Large Coronavirus Disease Outbreak in Homeless Shelter, Chicago, Illinois, USA, 2020" [5] had some inaccuracies, which are corrected in the ODEs below. Compartments, variables, and their descriptions are provided in the Table 2.1.

| Notation | Description |
|-------------------|--|
| S | Susceptible persons |
| E | Exposed persons |
| Is | Infectious symptomatic persons |
| Ia | Infectious asymptomatic persons |
| $R_{\sf ps}$ | Recovered symptomatic persons, PCR-positive |
| $R_{\sf pa}$ | Recovered asymptomatic persons, PCR-positive |
| $R_{\sf n}$ | Recovered persons, PCR-negative |
| H | Hospitalized persons (phase 1, phase 2) |
| Q | Soft-isolated persons (phase 2) |
| Ht | Persons suspected of having COVID-19 and transferred to a hospital (phase 3 |
| | phase 4) |
| Isol | Isolated as a result of 4 rounds of PCR-testing (phase 4) |
| β | Rate of transmission between Susceptible and Infectious persons |
| σ_{S} | Rate of transition from E to $I_{s} \sigma_{s} = 1/t_{incubation} \times p_{symp}$, where $t_{incubation}$ is incu |
| | bation period and p_{symp} is percent symptomatic |
| σ_{a} | Rate of transition from E to $I_{a} \sigma_{a} = 1/t_{incubation} \times p_{asymp}$, where $t_{incubation}$ is incu |
| | bation period and p_{asymp} is percent asymptomatic |
| $\gamma_{\sf sp}$ | Rate of transition from I_s to $R_{ps} \gamma_{sp} = 1/t_{infectious_s}$, where $t_{infectious_s}$ is an infectious |
| | tious period of symptomatic persons |
| γ_{ap} | Rate of transition from I_a to $R_{pa} \gamma_{ap} = 1/t_{infectious_a}$, where $t_{infectious_a}$ is an infectious |
| | tious period of asymptomatic persons |
| $\gamma_{\sf sn}$ | Rate of transition from R_{ps} to $R_n \gamma_{sn} = 1/(t_{pcrPos_s} - t_{infectious_s})$, where t_{pcrPos_s} is |
| | duration of PCR-positivity for symptomatic infected persons |
| γ_{an} | Rate of transition from R_{pa} to $R_n \gamma_{an} = 1/(t_{pcrPos_a} - t_{infectious_a})$, where t_{pcrPos_a} |
| | is duration of PCR-positivity for asymptomatic infected persons |
| ω_0 | Rate of hospital admission of I_s (Phase 1) |
| ω | Rate of transition from Q to H (Phase 2) |
| α | Rate of transition from I_s to Q (Phase 2); rate of transition from I_s to Ht (Phase |
| | 3, Phase 4) |
| ρ | Rate of transition from $Isol$ to $R_{\sf n}$ (Phase 4); $ ho = 1/t_{\sf isolation}$, where $t_{\sf isolation}$ i |
| | duration of isolation (14 days) |

Table 2.1: Compartments and variables of ODEs for phases 1-4.

The following system of ODEs describes **phase 1**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.7}$$

$$\frac{dE}{dt} = \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{s} \times E - \sigma_{a} \times E,$$
(2.8)

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E - \gamma_{\rm sp} \times I_{\rm s} - \omega_0 \times I_{\rm s}, \tag{2.9}$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E - \gamma_{ap} \times I_{a}, \qquad (2.10)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.11}$$

$$\frac{dR_{\mathsf{pa}}}{dt} = \gamma_{\mathsf{ap}} \times I_{\mathsf{a}} - \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.12}$$

$$\frac{dR_{\rm n}}{dt} = \gamma_{\rm sn} \times R_{\rm ps} + \gamma_{\rm an} \times R_{\rm pa}, \qquad (2.13)$$

$$\frac{dH}{dt} = \omega_0 \times I_{\rm s}.\tag{2.14}$$

In phase 2 the isolation dorms (*Q*) were introduced, due to the commencement of symptom screening. These are the ODEs for **phase 2**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.15}$$

$$\frac{dE}{dt} = \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{s} \times E - \sigma_{a} \times E, \qquad (2.16)$$

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E - \gamma_{\rm sp} \times I_{\rm s} - \alpha \times I_{\rm s}, \qquad (2.17)$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E - \gamma_{ap} \times I_{a}, \qquad (2.18)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.19}$$

$$\frac{dR_{\mathsf{pa}}}{dt} = \gamma_{\mathsf{ap}} \times I_{\mathsf{a}} - \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.20}$$

$$\frac{dR_{\rm n}}{dt} = \gamma_{\rm sn} \times R_{\rm ps} + \gamma_{\rm an} \times R_{\rm pa}, \qquad (2.21)$$

$$\frac{dQ}{dt} = -\omega \times Q + \alpha \times I_{\rm s},\tag{2.22}$$

$$\frac{dH}{dt} = \omega \times Q. \tag{2.23}$$

In phase 3 hospital (H) and isolation dorms (Q) compartments were replaced with Hotel (Ht) due to the opening of a hotel for homeless persons suspected to have COVID-19. All symptomatic persons were moved to the hotel once tested positive. These are ODEs for **phase 3**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.24}$$

$$\frac{dE}{dt} = \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{s} \times E - \sigma_{a} \times E, \qquad (2.25)$$

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E - \gamma_{\rm sp} \times I_{\rm s} - \alpha \times I_{\rm s}, \tag{2.26}$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E - \gamma_{ap} \times I_{a}, \qquad (2.27)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.28}$$

$$\frac{dR_{\mathsf{pa}}}{dt} = \gamma_{\mathsf{ap}} \times I_{\mathsf{a}} - \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.29}$$

$$\frac{dR_{\rm n}}{dt} = \gamma_{\rm sn} \times R_{\rm ps} + \gamma_{\rm an} \times R_{\rm pa}, \qquad (2.30)$$

$$\frac{dHt}{dt} = \alpha \times I_{\rm s}.$$
(2.31)

In phase 4 the isolation units for persons who tested positive during mass PCR screens were implemented. At each of the 4 isolation time points (2 days after each testing), the number of persons in the I_s , I_a , R_{ps} , and R_{pa} compartments who are simulated to test positive (SensitivityPCR × number_of_individuals_in_each_compartment) are moved to the Isolation compartment (Q). These are ODEs for **phase 4**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.32}$$

$$\frac{dE}{dt} = \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{s} \times E - \sigma_{a} \times E, \qquad (2.33)$$

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E - \gamma_{\rm sp} \times I_{\rm s} - \alpha \times I_{\rm s}, \qquad (2.34)$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E - \gamma_{ap} \times I_{a}, \qquad (2.35)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.36}$$

$$\frac{dR_{\mathsf{pa}}}{dt} = \gamma_{\mathsf{ap}} \times I_{\mathsf{a}} - \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.37}$$

$$\frac{dR_{n}}{dt} = \gamma_{sn} \times R_{ps} + \gamma_{an} \times R_{pa} + \rho \times Isol,$$
(2.38)

$$\frac{dHt}{dt} = \alpha \times I_{\rm s},\tag{2.39}$$

$$\frac{dIsol}{dt} = -\rho \times Isol. \tag{2.40}$$

2.6 Alternative Model

Although the incubation period is the same for symptomatic and asymptomatic individuals, the model has to parametrize σ_s and σ_a , which have to be carefully interpreted. By extending the model with an additional compartment, more straightforward interpretation of parameters is achieved and the assumption that incubation period is the same for symptomatic and asymptomatic individuals, can be relaxed. More precisely, we split the E compartment into two parts: E_a and E_s , which represent exposed individuals that later become asymptomatic and symptomatic infected, respectively. In this variation of the model, the rate of transition from Susceptible to E_a is $\beta \times p$, where p is a fraction of asymptomatic infectious, or a probability of a person being asymptomatic. The rate of transition from S compartment to E_s in this case is $\beta \times (1 - p)$. After transition to either exposed compartments, a person can be transitioned further only to a corresponding infectious compartment. Rates of transition from exposed to I_a and I_s , unlike the base model, are not affected by p. Those rates are

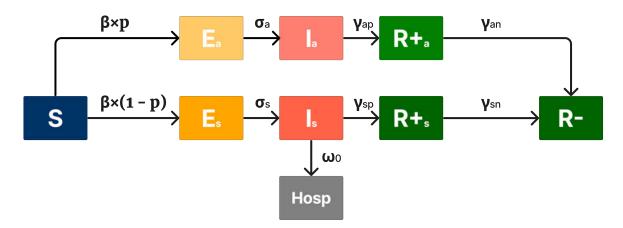
$$\sigma_{\rm S} = \frac{1}{t_{\rm incubation_symptomatic}},\tag{2.41}$$

$$\sigma_{a} = \frac{1}{t_{\text{incubation}_asymptomatic}},$$
(2.42)

where $t_{incubation_symptomatic}$ is incubation period for symptomatic persons, and $t_{incubation_asymptomatic}$ is incubation period for asymptomatic persons. The alternative model of phase 1 is illustrated in Figure 2.4.

The alternative model was implemented for every phase of the PGM outbreak alongside the base model.

The ODEs for the alternative model are similar to the ones from the base model, with difference only in exposed and infected compartments.



Alternative phase one

Figure 2.4: Phase one compartments of the alternative model.

The following system of ODEs describes **phase 1**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\mathsf{s}} + I_{\mathsf{a}})}{N},\tag{2.43}$$

$$\frac{dE_{s}}{dt} = (1-p) \times \beta \times S \times \frac{(I_{s}+I_{a})}{N} - \sigma_{s} \times E_{s},$$
(2.44)

$$\frac{dE_{a}}{dt} = p \times \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{a} \times E_{a},$$
(2.45)

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E_{\rm s} - \gamma_{\rm sp} \times I_{\rm s} - \omega_0 \times I_{\rm s}, \tag{2.46}$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E_{a} - \gamma_{ap} \times I_{a}, \qquad (2.47)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.48}$$

$$\frac{dR_{\text{pa}}}{dt} = \gamma_{\text{ap}} \times I_{\text{a}} - \gamma_{\text{an}} \times R_{\text{pa}}, \qquad (2.49)$$

$$\frac{dR_{\mathsf{n}}}{dt} = \gamma_{\mathsf{sn}} \times R_{\mathsf{ps}} + \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.50}$$

$$\frac{dH}{dt} = \omega_0 \times I_{\rm s}.\tag{2.51}$$

The following system of ODEs describes **phase 2**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.52}$$

$$\frac{dE_{s}}{dt} = (1-p) \times \beta \times S \times \frac{(I_{s}+I_{a})}{N} - \sigma_{s} \times E_{s},$$
(2.53)

$$\frac{dE_{a}}{dt} = p \times \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{a} \times E_{a},$$
(2.54)

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E_{\rm s} - \gamma_{\rm sp} \times I_{\rm s} - \alpha \times I_{\rm s}, \tag{2.55}$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E_{a} - \gamma_{ap} \times I_{a}, \qquad (2.56)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.57}$$

$$\frac{dR_{\mathsf{pa}}}{dt} = \gamma_{\mathsf{ap}} \times I_{\mathsf{a}} - \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.58}$$

$$\frac{dR_{\rm n}}{dt} = \gamma_{\rm sn} \times R_{\rm ps} + \gamma_{\rm an} \times R_{\rm pa}, \tag{2.59}$$

$$\frac{dQ}{dt} = -\omega \times Q + \alpha \times I_{\rm s},\tag{2.60}$$

$$\frac{dH}{dt} = \omega \times Q. \tag{2.61}$$

The following system of ODEs describes **phase 3**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.62}$$

$$\frac{dE_{s}}{dt} = (1-p) \times \beta \times S \times \frac{(I_{s}+I_{a})}{N} - \sigma_{s} \times E_{s},$$
(2.63)

$$\frac{dE_{a}}{dt} = p \times \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{a} \times E_{a},$$
(2.64)

$$\frac{dI_{s}}{dt} = \sigma_{s} \times E_{s} - \gamma_{sp} \times I_{s} - \alpha \times I_{s},$$
(2.65)

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E_{a} - \gamma_{ap} \times I_{a}, \qquad (2.66)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.67}$$

$$\frac{dR_{\mathsf{pa}}}{dt} = \gamma_{\mathsf{ap}} \times I_{\mathsf{a}} - \gamma_{\mathsf{an}} \times R_{\mathsf{pa}},\tag{2.68}$$

$$\frac{dR_{n}}{dt} = \gamma_{sn} \times R_{ps} + \gamma_{an} \times R_{pa}, \qquad (2.69)$$

$$\frac{dHt}{dt} = \alpha \times I_{\rm s}.$$
(2.70)

The following system of ODEs describes **phase 4**:

$$\frac{dS}{dt} = -\beta \times S \times \frac{(I_{\rm s} + I_{\rm a})}{N},\tag{2.71}$$

$$\frac{dE_{s}}{dt} = (1-p) \times \beta \times S \times \frac{(I_{s}+I_{a})}{N} - \sigma_{s} \times E_{s},$$
(2.72)

$$\frac{dE_{a}}{dt} = p \times \beta \times S \times \frac{(I_{s} + I_{a})}{N} - \sigma_{a} \times E_{a},$$
(2.73)

$$\frac{dI_{\rm s}}{dt} = \sigma_{\rm s} \times E_{\rm s} - \gamma_{\rm sp} \times I_{\rm s} - \alpha \times I_{\rm s}, \qquad (2.74)$$

$$\frac{dI_{a}}{dt} = \sigma_{a} \times E_{a} - \gamma_{ap} \times I_{a}, \qquad (2.75)$$

$$\frac{dR_{\rm ps}}{dt} = \gamma_{\rm sp} \times I_{\rm s} - \gamma_{\rm sn} \times R_{\rm ps}, \tag{2.76}$$

$$\frac{dR_{\text{pa}}}{dt} = \gamma_{\text{ap}} \times I_{\text{a}} - \gamma_{\text{an}} \times R_{\text{pa}}, \qquad (2.77)$$

$$\frac{dR_{\mathsf{n}}}{dt} = \gamma_{\mathsf{sn}} \times R_{\mathsf{ps}} + \gamma_{\mathsf{an}} \times R_{\mathsf{pa}} + \rho \times Isol,$$
(2.78)

$$\frac{dHt}{dt} = \alpha \times I_{\rm s},\tag{2.79}$$

$$\frac{dIsol}{dt} = -\rho \times Isol.$$
(2.80)

2.7 Model Fitting

To fit model parameters, two functions to propagate all 4 model phases sequentially were constructed, for the base and alternative model. Onward, the *optim* function from *stats* package of R [19] was used with the limited memory Broyden–Fletcher–Goldfarb–Shanno (L-BFGS) optimization algorithm. The L-BFGS is an optimization algorithm in the family of quasi-Newton methods that approximates the Broyden–Fletcher–Goldfarb–Shanno algorithm (BFGS) using a limited amount of computer memory [13].

Table 2.2 presents the data points, values, and weights that were used to minimize the root mean log squared error during the model fitting process.

| Description | Phase | Value | Weight |
|--|-------|-------|--------|
| Cum. number of hospital-based PCR+, March 14 | I | 1 | 1 |
| Cum. number of hospital-based PCR+, March 15 | I | 1 | 1 |
| Cum. number of hospital-based PCR+, March 16 | I | 1 | 1 |
| Cum. number of hospital-based PCR+, March 17 | I | 2 | 1 |
| Cum. number of hospital-based PCR+, March 18 | I | 3 | 1 |
| Cum. number of hospital-based PCR+, March 19 | I | 3 | 1 |
| Cum. number of hospital-based PCR+, March 20 | I | 4 | 1 |
| Cum. number of hospital-based PCR+, March 21 | I | 4 | 1 |
| Cum. number of hospital-based PCR+, March 22 | I | 5 | 1 |
| Cum. number of hospital-based PCR+, March 23 | I | 6 | 1 |
| Cum. number of hospital-based PCR+, March 24 | I | 6 | 1 |
| Cum. number of hospital-based PCR+, March 25 | I | 6 | 1 |
| Cum. number of hospital-based PCR+, March 26 | I | 7 | 1 |
| Cum. number of hospital-based PCR+, March 27 | I | 9 | 1 |
| Cum. number of hospital-based PCR+, March 28 | I | 9 | 1 |
| Cum. number of hospital-based PCR+, March 29 | I | 9 | 1 |
| Cum. number of hospital-based PCR+, March 30 | I | 10 | 1 |
| Number of PCR+ persons | II | 18 | 1 |
| Number of hospitalized persons | II | 7 | 1 |
| Number of persons moved to the hotel | 111 | 26 | 1 |
| Number of PCR+ in the first round of mass PCR testing | IV | 166 | 2 |
| Number of PCR+ in the second round of mass PCR testing | IV | 24 | 2 |
| Number of PCR+ in the third round of mass PCR testing | IV | 23 | 2 |
| Number of PCR+ in the fourth round of mass PCR testing | IV | 1 | 2 |
| Number of persons moved to the hotel between 1 and 2 | IV | 20 | 1 |
| rounds of mass PCR testing | | | |
| Number of persons moved to the hotel between 2 and 3 | IV | 4 | 1 |
| rounds of mass PCR testing | | | |
| Number of persons moved to the hotel between 3 and 4 | IV | 0 | 1 |
| rounds of mass PCR testing | | | |

Table 2.2: Data points for model fitting of coronavirus disease outbreak in PGM.

| Variable | Range of values | Phases |
|---|-----------------|------------|
| β_0 — initial β | 0-445 | 1, 2, 3, 4 |
| $eta_{\sf f_pct_eta_0}$ - final eta as a percentage of eta_0 | 0-1 | 1, 2, 3, 4 |
| k — rate of transformation of eta | 0.01–2 | 1, 2, 3, 4 |
| t_{Trans} — day when eta reaches halfway between eta_{0} | 1-50 | 1, 2, 3, 4 |
| and β_{f} | | |
| $t_{\sf incubation}$ — time between E and I compartments | 2.8-4.0 | 1, 2, 3, 4 |
| p — asymptomatic percentage | 0.18-0.87 | 1, 2, 3, 4 |
| $t_{\sf infectious_s}$ — infectious duration for symptomatic | 3-8 | 1, 2, 3, 4 |
| persons (days) | | |
| <i>t</i> _{infectious_a} — infectious duration for asymptomatic | 3-8 | 1, 2, 3, 4 |
| persons (days) | | |
| t_{pcrPos_s} — duration of RT-PCR–positivity of | 16-35 | 1, 2, 3, 4 |
| symptomatic persons (days) | | |
| <i>t</i> _{pcrPos_a} — duration of RT-PCR–positivity of | 3-35 | 1, 2, 3, 4 |
| asymptomatic persons (days) | | |
| lpha — rate of detection of symptomatic infectious | 0.01-1 | 2, 3, 4 |
| persons through screening | | |
| <i>rt_pcr_sensitivity</i> — RT-PCR sensitivity | 0.72-0.90 | 4 |
| ω_0 — rate of hospital admission of Infectious | 0.05-1.0 | 1 |
| symptomatic persons before screening | | |
| ω — rate of hospital admission of soft-isolated | 0.05-1.0 | 2 |
| symptomatic persons | | |

Table 2.3: Model parameters' ranges for fitting.

Ranges of values for each optimized variable (Table 2.3) were derived from the literature[5]

The basic reproduction number (R_0), which is calculated as β/γ in a basic SEIR model, was calculated as $\beta_0/(\gamma_{ap} \times p + \gamma_{sp} \times (1 - p))$, where γ_{ap} is the inverse of infectious duration for asymptomatic persons and γ_{sp} is the inverse of infectious duration for symptomatic persons. The number of persons in different compartments at various time points and model parameters (representing transmission dynamics) were estimated from the fitted model [5].

3 Results

Here, we present the results of the model simulations.

3.1 Base model

The *optim* method with L-BFGS algorithm converged, giving the following results (Table 3.1).

Based on the fitted variables, following derivative parameters were calculated (Table 3.2), according to equations from Table 2.1.

| Fitted value | Result | Description |
|------------------------------|--------|--|
| β ₀ | 1 | Initial β |
| β_{f} pct_ β_{0} | 0.22 | final β as a percentage of β_0 |
| t_{Trans} | 28.73 | Moment where β reaches halfway between β_0 and |
| | | β_{f} (days) |
| k | 0.01 | Rate of transformation of β |
| p | 0.36 | Asymptomatic percentage |
| $t_{\sf incubation}$ | 2.8 | Time between E and I compartments (days) |
| $t_{\sf infectious_s}$ | 5.12 | infectious duration for symptomatic persons |
| | | (days) |
| $t_{\sf infectious_a}$ | 8 | Infectious duration for asymptomatic persons |
| | | (days) |
| $t_{\sf pcrPos_s}$ | 35 | Duration of RT-PCR–positivity of symptomatic |
| | | persons (days) |
| $t_{\sf pcrPos_a}$ | 35 | Duration of RT-PCR–positivity of asymptomatic |
| | | persons (days) |
| ω_0 | 0.86 | Rate of hospital admission of infectious |
| | | symptomatic persons before screening |
| lpha | 0.29 | Rate of detection of symptomatic infectious |
| | | persons through screening |
| ω | 0.7 | rate of hospital admission of soft-isolated |
| | | symptomatic persons during phase 2 |
| $rt_pcr_sensitivity$ | 0.9 | RT-PCR sensitivity |

 Table 3.1: Fitted parameters for the base model

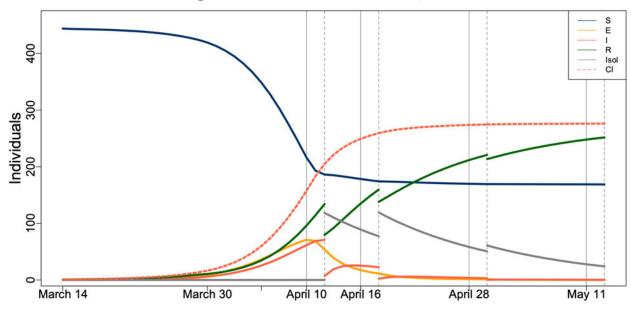
| Parameter | Result | Description |
|-------------------|--------|---|
| σ_{s} | 0.23 | Rate of transition from E to I_s |
| σ_{a} | 0.13 | Rate of transition from E to I_{a} |
| $\gamma_{\sf SP}$ | 0.2 | Rate of transition from I_s to R_{ps} |
| γ_{ap} | 0.13 | Rate of transition from I_{a} to R_{pa} |
| $\gamma_{\sf Sn}$ | 0.03 | Rate of transition from R_{ps} to R_{n} |
| γ_{an} | 0.04 | Rate of transition from R_{pa} to R_{n} |

Table 3.2: Derivative model parameters calculated based on fitted model parameters from Table 3.1

The trajectories of the compartmental model, which include susceptible, exposed, infectious, recovered, and additionally total infected individuals over time, are shown in Figure 3.1.

The results show that the spread of infection was slowed down considerably with the start of mass-testing in phase 4.

The model gave R_0 value of 5.78, which suggest that one person with COVID-19 could infect 5–6 people. According to the modeling, a total of 276 people were infected at some point during the observation.



Chicago Shelter COVID-19 Outbreak, Base Model

Figure 3.1: Compartmental modeling results of the coronavirus disease outbreak at Pacific Garden Mission, a homeless shelter in Chicago, Illinois, USA, 2020. Time points corresponding to each of the 4 rounds of mass testing and isolation are indicated by vertical dotted lines and vertical dashed lines. The susceptible compartment corresponds to persons who are estimated to have never been infected; exposed persons have been infected but are not yet infectious; infectious includes persons in both I_s and I_a ; recovered include the R_{ps} , R_{pa} , and R_n compartments; *Isol* compartment refers to persons isolated as a result of mass-testing rounds in phase 4; *CI* refers to cumulative infected persons.

3.2 Alternative model

For the alternative model (2.43), the L-BFGS algorithm yielded the following results (Table 3.3). The difference was, as mentioned previously, that the incubation period for symptomatic and asymptomatic persons was fitted as two different parameters.

Based on the fitted variables, following derivative parameters were calculated (Table 3.4).

| Fitted value | Result | Description |
|------------------------------|--------|--|
| β_0 | 1 | Initial beta |
| β_{f} pct_ β_{0} | 0.08 | final β as a percentage of β_0 |
| t_{Trans} | 29.15 | Moment where β reaches halfway between β_0 and |
| | | β_{f} (days) |
| k | 0.01 | Rate of transformation of β |
| p | 0.45 | Asymptomatic percentage |
| $t_{\sf incubation_a}$ | 4 | Time between E_{a} and I_{a} compartments (days) |
| $t_{\sf incubation_s}$ | 2.8 | Time between E_s and I_s compartments (days) |
| $t_{\sf infectious_s}$ | 8 | infectious duration for symptomatic persons |
| | | (days) |
| $t_{\sf infectious_a}$ | 8 | Infectious duration for asymptomatic persons |
| | | (days) |
| $t_{\sf pcrPos_s}$ | 35 | Duration of RT-PCR-positivity of symptomatic |
| | | persons (days) |
| $t_{\sf pcrPos_a}$ | 35 | Duration of RT-PCR–positivity of asymptomatic |
| | | persons (days) |
| ω_0 | 0.84 | Rate of hospital admission of infectious |
| | | symptomatic persons before screening |
| lpha | 0.38 | Rate of detection of symptomatic infectious |
| | | persons through screening |
| ω | 0.56 | rate of hospital admission of soft-isolated |
| | | symptomatic persons during phase 2 |
| $rt_pcr_sensitivity$ | 0.9 | RT-PCR sensitivity |

Table 3.3: Fitted model parameters for the alternative model

| Parameter | Result | Description |
|-------------------|--------|---|
| σ_{S} | 0.36 | Rate of transition from E_s to I_s |
| σ_{a} | 0.25 | Rate of transition from E_a to I_a |
| $\gamma_{\sf SP}$ | 0.13 | Rate of transition from I_s to R_{ps} |
| γ_{ap} | 0.13 | Rate of transition from I_a to R_{pa} |
| $\gamma_{\sf sn}$ | 0.04 | Rate of transition from R_{ps} to R_{n} |
| γ_{an} | 0.04 | Rate of transition from R_{pa} to R_{n} |

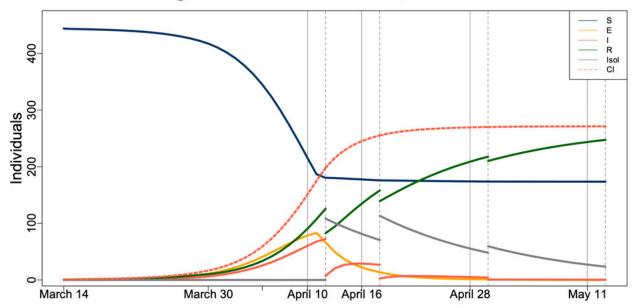
Table 3.4: Derivative model parameters calculated based on fitted model parameters from Table 3.3

The trajectories of the compartmental model, which include susceptible, exposed, infectious, recovered, and additionally total infected individuals over time, are shown in Figure 3.2.

As in the base model, the results for the alternative model show that the spread of infection was slowed down considerably with the start of mass-testing in phase 4.

While the results are not surprisingly similar, it is important to note that the estimated incubation period for symptomatic and asymptomatic infectious differ.

The model gave R_0 value of 8, which is considerably greater than the R_0 of the base model. According to the modeling, a total of 271 people were infected at some point during the observation.



Chicago Shelter COVID-19 Outbreak, Alternative Model

Figure 3.2: Compartmental modeling results with the alternative approach of the coronavirus disease outbreak at Pacific Garden Mission, a homeless shelter in Chicago, Illinois, USA, 2020. Time points corresponding to each of the 4 rounds of mass testing and isolation are indicated by vertical dotted lines and vertical dashed lines. The susceptible compartment corresponds to persons who are estimated to have never been infected; exposed persons have been infected but are not yet infectious; infectious includes persons in both I_s and I_a ; recovered include the R_{ps} , R_{pa} , and R_n compartments; *Isol* compartment refers to persons isolated as a result of mass-testing rounds in phase 4; *CI* refers to cumulative infected persons

4 Discussion

Constructing such models allows discovering which of the virus control methods are most effective, and it is crucially important in planning response to the future threats similar to COVID-19.

In this study, we corrected and implemented a model of COVID-19 outbreak at PGM homeless shelter, Chicago [5]. Additionally, an alternative approach based on fewer assumptions was demonstrated, which gave evidence that the incubation periods for symptomatic and asymptomatic individuals can differ.

4.1 Comparison of implementations

Aside from the corrected transmission rate function mentioned in (2.5) and other minor misprints, our implementation differs from the original implementation in [5] in usage of an additional transmission rate parameter in phases 2 (λ_0) and 4 (λ). Their presence in the original implementation was explained by the addition of the isolation compartments ($Isol_{soft}$ and Isol). It was unclear how the addition of such compartments could increase the speed of transition from S to E, and it contradicted the idea of the gradually decreasing transmission rate over time due to the measures taken. Furthermore, the model with λ_0 and λ was implemented with the fitting ranges specified in [5], but the fitted values were 0, which effectively confirmed their redundancy.

The basic reproduction number yielded by our implementation of the model is 5.78, which is not only greater than early estimates (2.2 – 2.7[20]), but also greater than the result of the original implementation (4.5) [5]. However, other studies indicate R_0 value extremely close to the value resulted by our model [20].

The original implementation of the model indicated that approximately 350 persons were cumulatively infected, which was significantly more than 253 cases detected by PCR testing [5]. At the same time, the model in both implementations produces the PCR-sensitivity of 90%, which gives an approximation of 281 total infected, which is much closer to our value of 276 cumulative infected persons.

Another significant difference in the results could be seen in the value of p, the asymptomatic percentage. The original implementation of the model yielded p = 72%, whereas our yielded p = 36% [5]. More recent studies demonstrate value closer to 40% [15].

4.2 Base and alternative models

As could be seen from figures 3.1 and 3.2, the alternative model yielded roughly the same values for the compartments and cumulative infected persons. However, the fitted results for the incubation rates for symptomatic and asymptomatic individuals are different, which could not be reflected in the base model.

Additionally, the alternative model is far more intuitive. In the base model, incubation period can not be calculated as $1/\sigma_s$ or $1/\sigma_a$, which could be expected. The model also implies that the incubation period is the same for symptomatic and asymptomatic individuals, although it has two different parameters for incubation rate.

4.3 Limitations

The reported estimates, such as the duration of viral shedding, show high variance in the population and may not be normally distributed [4]. One study of 21 patients with mild symptoms found that 90% of them had repeated negative RT-PCR tests within 10 days of symptom onset [14]. Another study of 56 patients with mild to moderate symptoms reported a median viral RNA shedding duration of 24 days [1].

The underlying test data were limited by the lack of widespread testing. Widespread testing in congregate settings was not established in Chicago until April 2020, and no widespread testing data were available to characterize the first phase of this outbreak. Our model accounts for this early lack of testing and fits compartmental trajectories across the entire outbreak timeline. It uses known ranges for parameters like infectious duration and RT-PCR-positive duration, but it inevitably simplifies some aspects of the context [5].

This simplification, along with the large number of fitted parameters, necessitates careful interpretation of the fitted parameter values. Other limitations include the assumption of a closed system. Although the shelter did not allow residents to enter or leave, some high-risk residents were preemptively moved to a hotel, and some residents inevitably left the shelter. Additionally, some staff members left the shelter and returned. The model also assumes random mixing of the shelter population outside of isolation units, which was not fully the case due to the factors such as gender-separated rooms [5].

Finally, the parameter uncertainties and the small population size might indicate the necessity of an implementation of a stochastic or a hybrid model for achieving better results. Additionally, sample size is too small to give confidence in the results, such as different incubation periods for symptomatic and asymptomatic individuals in the alternative model.

Appendix A: Common

A.1 Plots

Listing A.1: plot.R

```
red <- "#FF6347"
1
   green <- "#006400"
2
   blue <- "#003366"
3
   orange <- "#FFA500"
4
   gray <- "#808080"
5
6
   lines_plot <- "l"</pre>
7
  solid_line <- 1</pre>
8
   dashed_line <- 2</pre>
9
   line_thickness <- 4</pre>
10
   bottom_side <- 1</pre>
11
12
   axis_values_size <- 1.5</pre>
13
   axis_labels_size <- 2</pre>
14
  title_size <- 2</pre>
15
   axis_title_margin <- 2</pre>
16
   axis_label_margin <- 0.5</pre>
17
   axis_line_margin <- 0</pre>
18
19
   par(cex.main = title_size)
20
   par(cex.axis = axis_values_size)
21
   par(cex.lab = axis_labels_size)
22
   par(mgp = c(axis_title_margin, axis_label_margin, axis_line_margin))
23
24
   beta_plot <- function(df, header) {</pre>
25
     number_of_columns <- length(df)</pre>
26
     column_names <- colnames(df)[1:number_of_columns]</pre>
27
     column_types <- rep(solid_line, number_of_columns)</pre>
28
     column_colors <- c(red, green)[1:number_of_columns]</pre>
29
30
     matplot(
31
        x = 1:50,
32
        y = df,
33
        type = "l",
34
        main = header,
35
        xlab = "Days",
36
        ylab = "Value",
37
        col = column_colors,
38
        lty = solid_line,
39
```

```
lwd = line_thickness
40
     )
41
     legend("topright", legend=column_names, col=column_colors, lty =
42
         column_types)
43
   }
44
45
   seir_plot <- function(df, header, starting_date = as.Date("2000-01-01")</pre>
46
       ) {
     ci_present <- ("CI" %in% names(df))</pre>
47
     if (ci_present) {
48
       ci <- df$CI
49
       df$CI <- NULL
50
     }
51
     df$time <- NULL
52
53
     number_of_columns <- length(df)</pre>
54
     number_of_rows <- nrow(df)</pre>
55
     column_names <- colnames(df)[1:number_of_columns]</pre>
56
     column_types <- rep(solid_line, number_of_columns)</pre>
57
     column_colors <- c(blue, orange, red, green, gray, red)[1:number_of_</pre>
58
         columns]
59
     date_sequence <- seq(starting_date, by = "days", length.out = number_</pre>
60
         of_rows)
     matplot(
61
       x = date_sequence,
62
       y = df,
63
       type = lines_plot,
64
       lty = solid_line,
65
       main = header,
66
       xlab = "Days",
67
       ylab = "Individuals",
68
       col = column_colors,
69
       xaxt = if (starting_date == as.Date("2000-01-01")) "n" else "s",
70
       yaxt = "n",
71
       lwd = line_thickness
72
     )
73
74
     if (ci_present) {
75
       lines(date_sequence, ci, type=lines_plot, lty=dashed_line, col=red,
76
            lwd = line_thickness)
       column_names <- c(column_names, "CI")</pre>
77
       column_types <- c(column_types, dashed_line)</pre>
78
        column_colors <- c(column_colors, red)</pre>
79
     }
80
81
```

```
legend("topright", legend=column_names, col=column_colors, lty =
82
         column_types)
   }
83
84
   shelter_plot <- function(df, header) {</pre>
85
      df$time <- NULL
86
87
      number_of_columns <- length(df)</pre>
88
      column_names <- colnames(df)[1:number_of_columns]</pre>
89
      column_types <- c(rep(solid_line, number_of_columns - 1), dashed_line</pre>
90
         )
      column_colors <- c(blue, orange, red, green, gray, red)[1:number_of_
91
          columns]
      observation_duration_days <- 61
92
      date_sequence <- seq(as.Date("2020-03-14"), by = "days", length.out =</pre>
93
           observation_duration_days)
94
      # Creating a plot with an invisible line to set the correct scale
95
      plot(
96
        x = date_sequence,
97
        y = 7.5 * seq(1, observation_duration_days),
98
        type = lines_plot,
99
        lty = 0,
100
        main = header,
101
        xlab = "",
102
        ylab = "Individuals",
103
        col=rgb(0, 0, 0, alpha = 0.0),
104
        xaxt = "n"
105
      )
106
107
      # Displaying key dates
108
      dates_to_show <- c(</pre>
109
        as.Date("2020-03-14"),
110
        as.Date("2020-03-30"),
111
        as.Date("2020-04-05"),
112
        as.Date("2020-04-10"),
113
        as.Date("2020-04-16"),
114
        as.Date("2020-04-28"),
115
        as.Date("2020-05-11")
116
      )
117
      axis(side = bottom_side, at = dates_to_show, labels = format(dates_
118
         to_show, "%B %d"))
119
      # Marking 4 rounds of mass-testing in phase 4
120
      abline(v = as.Date("2020-04-10"), col = rgb(0, 0, 0, alpha = 0.5),
121
         lty = solid_line)
```

```
abline(v = as.Date("2020-04-12"), col = rgb(0, 0, 0, alpha = 0.5),
122
         lty = dashed_line)
123
     abline(v = as.Date("2020-04-16"), col = rgb(0, 0, 0, alpha = 0.5),
124
         lty = solid_line)
     abline(v = as.Date("2020-04-18"), col = rgb(0, 0, 0, alpha = 0.5),
125
         lty = dashed_line)
126
     abline(v = as.Date("2020-04-28"), col = rgb(0, 0, 0, alpha = 0.5),
127
         lty = solid_line)
     abline(v = as.Date("2020-04-30"), col = rgb(0, 0, 0, alpha = 0.5),
128
         lty = dashed_line)
129
     abline(v = as.Date("2020-05-11"), col = rgb(0, 0, 0, alpha = 0.5),
130
         lty = solid_line)
     abline(v = as.Date("2020-05-13"), col = rgb(0, 0, 0, alpha = 0.5),
131
         lty = dashed_line)
132
     # Creating the lines by phases to get the correct connections on the
133
         plot
     part_one <- df[1:30, ]</pre>
134
      part_one_dates <- date_sequence[1:30]</pre>
135
     lines(part_one_dates, part_one$S, type=lines_plot, lty=solid_line,
136
         col=blue, lwd = line_thickness)
     lines(part_one_dates, part_one$E, type=lines_plot, lty=solid_line,
137
         col=orange, lwd = line_thickness)
     lines(part_one_dates, part_one$I, type=lines_plot, lty=solid_line,
138
         col=red, lwd = line_thickness)
     lines(part_one_dates, part_one$R, type=lines_plot, lty=solid_line,
139
         col=green, lwd = line_thickness)
     lines(part_one_dates, part_one$Isol, type=lines_plot, lty=solid_line,
140
          col=gray, lwd = line_thickness)
     lines(part_one_dates, part_one$CI, type=lines_plot, lty=dashed_line,
141
         col=red, lwd = line_thickness)
142
143
     # part two
144
     part_two <- df[31:37, ]</pre>
145
     part_two_dates <- date_sequence[30:36]</pre>
146
     lines(part_two_dates, part_two$S, type=lines_plot, lty=solid_line,
147
         col=blue, lwd = line_thickness)
     lines(part_two_dates, part_two$E, type=lines_plot, lty=solid_line,
148
         col=orange, lwd = line_thickness)
     lines(part_two_dates, part_two$I, type=lines_plot, lty=solid_line,
149
         col=red, lwd = line_thickness)
     lines(part_two_dates, part_two$R, type=lines_plot, lty=solid_line,
150
         col=green, lwd = line_thickness)
```

```
lines(part_two_dates, part_two$Isol, type=lines_plot, lty=solid_line,
151
          col=gray, lwd = line_thickness)
     lines(part_two_dates, part_two$CI, type=lines_plot, lty=dashed_line,
152
         col=red, lwd = line_thickness)
153
     # part three
154
     part_three <- df[38:50, ]</pre>
155
     part_three_dates <- date_sequence[36:48]</pre>
156
     lines(part_three_dates, part_three$S, type=lines_plot, lty=solid_line
157
         , col=blue, lwd = line_thickness)
     lines(part_three_dates, part_three$E, type=lines_plot, lty=solid_line
158
         , col=orange, lwd = line_thickness)
     lines(part_three_dates, part_three$I, type=lines_plot, lty=solid_line
159
         , col=red, lwd = line_thickness)
     lines(part_three_dates, part_three$R, type=lines_plot, lty=solid_line
160
         , col=green, lwd = line_thickness)
     lines(part_three_dates, part_three$Isol, type=lines_plot, lty=solid_
161
         line, col=gray, lwd = line_thickness)
     lines(part_three_dates, part_three$CI, type=lines_plot, lty=dashed_
162
         line, col=red, lwd = line_thickness)
163
     # part four
164
     part_four <- df[51:64, ]</pre>
165
     part_four_dates <- date_sequence[48:61]</pre>
166
     lines(part_four_dates, part_four$S, type=lines_plot, lty=solid_line,
167
         col=blue, lwd = line_thickness)
     lines(part_four_dates, part_four$E, type=lines_plot, lty=solid_line,
168
         col=orange, lwd = line_thickness)
     lines(part_four_dates, part_four$I, type=lines_plot, lty=solid_line,
169
         col=red, lwd = line_thickness)
     lines(part_four_dates, part_four$R, type=lines_plot, lty=solid_line,
170
         col=green, lwd = line_thickness)
     lines(part_four_dates, part_four$Isol, type=lines_plot, lty=solid_
171
         line, col=gray, lwd = line_thickness)
     lines(part_four_dates, part_four$CI, type=lines_plot, lty=dashed_line
172
         , col=red, lwd = line_thickness)
173
     legend("topright", legend=column_names, col=column_colors, lty =
174
         column_types)
   }
175
```

A.2 Basic SEIR model

Listing A.2: seir.R

```
require(deSolve)
```

Appendix A: Common

```
2
   # Basic SEIR model
3
   SEIR <- function(time, current_state, params){</pre>
4
     with(as.list(c(current_state, params)), {
5
       N < -S + E + I + R
6
       dS <- -(beta * S * I) / N
7
       dE <- (beta * S * I) / N - sigma * E
8
       dI <- sigma * E - gamma * I
9
       dR <- gamma * I
10
11
       return(list(c(dS, dE, dI, dR)))
12
     })
13
   }
14
15
   # Setting some values to have an example plot
16
   params <- c(
17
     sigma = 0.26,
18
     gamma = 0.15,
19
     beta = 0.5
20
  )
21
   initial_state <- c(S = 100, E = 0, I = 1, R = 0)
22
   times <- 0:100
23
24
   model <- ode(initial_state, times, SEIR, params)</pre>
25
   df <- as.data.frame(model)</pre>
26
  df$CI <- df$I + df$R
27
28
   seir_plot(df, "An example of a SEIR model")
29
```

A.3 Transition equation correction

Listing A.3: beta.R

```
beta_func_original <- function(beta_0, beta_f, t, t_trans, k) {</pre>
1
     beta_0 - (beta_0 - beta_f) / (1 + exp((t - t_trans) / k))
2
  }
3
  beta_func <- function(beta_0, beta_f, t, t_trans, k) {</pre>
4
     beta_0 - (beta_0 - beta_f) / (1 + exp((t_trans - t) / k))
5
  }
6
7
  beta_0 <- 0.6</pre>
8
  beta_f <- 0.6 * 0.11</pre>
9
  t_trans <- 23
10
  k <- 2
11
12
  # Beta function with values fitted from the paper
13
```

```
beta_parametrized_original <- function (t) {</pre>
14
     beta_func_original(beta_0 = beta_0, beta_f = beta_f, t = t, t_trans =
15
          t_trans, k = k
  }
16
17
   beta_parametrized <- function (t) {</pre>
18
     beta_func(beta_0 = beta_0, beta_f = beta_f, t = t, t_trans = t_trans,
19
          k = k)
  }
20
21
  times <- 1:50
22
  df <- data.frame(Original = rep(1, 50), Corrected = rep(1, 50))</pre>
23
  df$Original <- sapply(times, beta_parametrized_original)</pre>
24
  df$Corrected <- sapply(times, beta_parametrized)</pre>
25
26
  beta_plot(df, "Transition equation correction")
27
```

Appendix B: Base model

B.1 Fitted parameters

Listing B.1: fitted_parameters.R

```
# Result of the fitting
1
  # Run before plotting any of the phases
2
3
  params <- c(
4
     sigma_s = 0.22841296,
5
     sigma_a = 0.12872989,
6
     gamma_sp = 0.19536794,
7
     gamma_ap = 0.12500000,
8
     qamma_sn = 0.033460557,
9
     gamma_an = 0.03703704,
10
     omega = 0.70060133,
11
     omega_0 = 0.86611411,
12
     alpha = 0.29181595,
13
     beta_0 = 1,
14
     beta_f = 1 * 0.22213981,
15
     t_trans = 28.73244939,
16
     k = 0.01,
17
     rho = 1 / 14,
18
     sensitivity_pcr = 0.9,
19
     p = 0.36044370
20
21
  )
```

B.2 Phase one

Listing B.2: phase_one.R

```
require(deSolve)
1
2
  # SEIR model implementation for phase 1
3
  # Drawing an example plot for the test
4
5
  phase_one <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
7
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
9
       N <- S + E + I_s + I_a + R_pa + R_ps + R_n + H
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
```

```
13
       dE <- beta * S * (I_s + I_a) / N - sigma_s * E - sigma_a * E
14
15
       dI_s <- (sigma_s * E - gamma_sp * I_s - omega_0 * I_s)
16
       dI_a <- sigma_a * E - gamma_ap * I_a
17
18
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
19
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
20
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa
21
22
       dH <- omega_0 * I_s
23
24
        return(list(c(dS, dE, dI_s, dI_a, dR_ps, dR_pa, dR_n, dH)))
25
     })
26
   }
27
28
29
   phase_one_times <- 1:80</pre>
30
31
   starting_state <- c(</pre>
32
     S = 444,
33
     E = 0,
34
     I_{s} = 1,
35
     I_a = 0,
36
     R_ps = 0,
37
     R_pa = 0,
38
     \mathbf{R}_{n} = \mathbf{0},
39
     H = 0
40
   )
41
42
   model <- ode(starting_state, phase_one_times, phase_one, params)</pre>
43
44
  # Combining compartments into S-E-I-R
45
   df <- as.data.frame(model)</pre>
46
   df <- within(df, I <- I_s + I_a)
47
   df <- within(df, R <- R_ps + R_pa + R_n)</pre>
48
   df <- within(df, Isol <- H)</pre>
49
   df <- within(df, CI <- I + R + Isol)</pre>
50
   df <- subset(df, select = -c(I_s, I_a, R_ps, R_pa, R_n, H))</pre>
51
52
  seir_plot(df, header = "Base model, Phase One (extended)", starting_
53
       date = as.Date("2020-03-14"))
```

B.3 Phase two

```
Listing B.3: phase_two.R
```

```
require(deSolve)
1
2
   # SEIR model implementation for phase 2
3
   # Drawing an example plot for the test
4
5
   phase_two <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
7
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
9
       N < -S + E + I_s + I_a + R_pa + R_ps + R_n + Q + H
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
13
       dE <- beta * S * (I_s + I_a) / N - sigma_s * E - sigma_a * E
14
15
       dI_s <- sigma_s * E - gamma_sp * I_s - alpha * I_s
16
       dI_a <- sigma_a * E - gamma_ap * I_a
17
18
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
19
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
20
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa
21
22
       dQ <- -omega * Q + alpha * I_s
23
       dH <- omega * Q
24
25
       return(list(c(dS, dE, dI_s, dI_a, dR_ps, dR_pa, dR_n, dQ, dH)))
26
     })
27
   }
28
29
   starting_state <- c(</pre>
30
     S = 444,
31
     E = 0,
32
     I_{s} = 1,
33
     I_a = 0,
34
     R_ps = 0,
35
     R_pa = 0,
36
     R_n = 0,
37
     H = 0,
38
     \mathbf{0} = \mathbf{0}
39
40
   )
41
   phase_two_times <- 1:80</pre>
42
   model <- ode(starting_state, phase_two_times, phase_two, params)</pre>
43
44
  # Combining compartments into S-E-I-R
45
```

```
df <- as.data.frame(model)</pre>
46
   df <- within(df, I <- I_s + I_a)</pre>
47
   df <- within(df, R <- R_ps + R_pa + R_n)</pre>
48
   df <- within(df, Isol <- H)</pre>
49
   df <- within(df, CI <- I + R + Isol)</pre>
50
   df <- subset(df, select = -c(I_s, I_a, R_ps, R_pa, R_n, H, Q))
51
52
   seir_plot(df, header = "Base model, Phase Two (extended)", starting_
53
      date = as.Date("2020-03-14"))
```

B.4 Phase three

Listing B.4: phase_three.R

```
require(deSolve)
1
2
  # SEIR model implementation for phase 3
3
  # Drawing an example plot for the test
4
5
   phase_three <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
7
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
9
       N < -S + E + I_s + I_a + R_pa + R_ps + R_n + H
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
13
       dE <- beta * S * (I_s + I_a) / N - sigma_s * E - sigma_a * E
14
15
       dI_s <- sigma_s * E - gamma_sp * I_s - alpha * I_s
16
       dI_a <- sigma_a * E - gamma_ap * I_a
17
18
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
19
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
20
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa
21
22
       dH <- alpha * I_s
23
24
       return(list(c(dS, dE, dI_s, dI_a, dR_ps, dR_pa, dR_n, dH)))
25
     })
26
   }
27
28
   phase_three_times <- 1:80</pre>
29
   starting_state <- c(</pre>
30
     S = 444,
31
     E = 0,
32
```

```
I_s = 1,
33
     I_a = 0,
34
     R_ps = 0,
35
     R_pa = 0,
36
     \mathbf{R}_{n} = \mathbf{0},
37
     H = 0
38
   )
39
40
   model <- ode(starting_state, phase_three_times, phase_three, params)</pre>
41
42
   # Combining compartments into S-E-I-R
43
   df <- as.data.frame(model)</pre>
44
   df <- within(df, I <- I_s + I_a)</pre>
45
   df <- within(df, R <- R_ps + R_pa + R_n)
46
   df <- within(df, Isol <- H)</pre>
47
   df <- within(df, CI <- I + R + Isol)</pre>
48
   df <- subset(df, select = -c(I_s, I_a, R_ps, R_pa, R_n, H))</pre>
49
50
   seir_plot(df, header = "Base model, Phase Three (extended)", starting_
51
       date = as.Date("2020-03-14"))
```

B.5 Phase four

Listing B.5: phase_four.R

```
require(deSolve)
1
2
  # SEIR model implementation for phase 4
3
  # Drawing an example plot for the test
4
5
  phase_four <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
7
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
       N <- S + E + I_s + I_a + R_pa + R_ps + R_n + H + Q
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
13
       dE <- beta * S * (I_s + I_a) / N - sigma_s * E - sigma_a * E
14
15
       dI_s <- sigma_s * E - gamma_sp * I_s - alpha * I_s
16
       dI_a <- sigma_a * E - gamma_ap * I_a
17
18
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
19
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
20
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa + rho * Q
21
```

```
22
        dH <- alpha * I_s
23
        dQ <- - rho * Q
24
25
        return(list(c(dS, dE, dI_s, dI_a, dR_ps, dR_pa, dR_n, dH, dQ)))
26
     })
27
   }
28
29
   phase_four_times <- 1:80</pre>
30
   starting_state <- c(</pre>
31
     S = 444,
32
     E = 0,
33
     I_{s} = 1,
34
     I_a = 0,
35
     R_ps = 0,
36
     R_pa = 0,
37
     \mathbf{R}_{n} = \mathbf{0},
38
     H = 0,
39
     Q = 10
40
   )
41
42
   model <- ode(starting_state, phase_four_times, phase_four, params)</pre>
43
44
  # Combining compartments into S-E-I-R
45
   df <- as.data.frame(model)</pre>
46
   df <- within(df, I <- I_s + I_a)</pre>
47
   df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
48
   df <- within(df, Isol <- Q)</pre>
49
   df <- within(df, CI <- I + R + Isol)</pre>
50
   df <- subset(df, select = -c(I_s, I_a, R_ps, R_pa, R_n, H, Q))
51
52
   seir_plot(df, header = "Base model, Phase Four (extended)", starting_
53
       date = as.Date("2020-03-14"))
```

B.6 Shelter model

```
Listing B.6: shelter.R
```

```
phase_one_times <- 1:16</pre>
9
     model <- ode(state, phase_one_times, phase_one, params)</pre>
10
     df <- as.data.frame(model)</pre>
11
     # Saving last state for the next phase
12
     last_state <- tail(df, n = 1)
13
14
     # Combining compartments into SEIR
15
     df <- within(df, Q <- 0)
16
     df <- within(df, I <- I_s + I_a)
17
     df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
18
     df <- within(df, Isol <- 0)</pre>
19
20
     # Saving phase one results into a common table
21
     result <- data.frame(df)</pre>
22
23
     # Phase 2 from March 30 to April 4 (6 days)
24
     phase_two_times <- 16:22</pre>
25
     state <- c(</pre>
26
       S = last_state
27
       E = last_state$E,
28
       I_s = last_state$I_s,
29
       I_a = last_state$I_a,
30
       R_ps = last_state$R_ps,
31
       R_pa = last_state R_pa,
32
       R_n = last_state R_n,
33
       Q = 0,
34
       H = last_state
35
     )
36
37
     model <- ode(state, phase_two_times, phase_two, params)</pre>
38
     df <- as.data.frame(model)[-1, ]</pre>
39
40
     # Saving last state for the next phase
41
     last_state <- tail(df, n = 1)</pre>
42
43
     # Combining compartments into SEIR
44
     df <- within(df, I <- I_s + I_a)
45
     df <- within(df, R <- R_ps + R_pa + R_n + Q + H)
46
     df <- within(df, Isol <- 0)</pre>
47
48
     result <- rbind(result, df)</pre>
49
50
     # Phase 3 from April 5 to April 7 (3 days)
51
     phase_three_times <- 22:25</pre>
52
     state <- c(
53
       S = last_state
54
       E = last_state
55
```

```
I_s = last_state$I_s,
56
        I_a = last_state$I_a,
57
        R_ps = last_state$R_ps,
58
        R_pa = last_state$R_pa,
59
        R_n = last_state R_n,
60
        H = last_state$H + last_state$Q
61
      )
62
63
      model <- ode(state, phase_three_times, phase_three, params)</pre>
64
      df <- as.data.frame(model)[-1, ]</pre>
65
66
      # Saving last state for the next phase
67
      last_state <- tail(df, n = 1)</pre>
68
69
      # Combining compartments into SEIR
70
      df <- within(df, Q <- 0)
71
      df <- within(df, I <- I_s + I_a)</pre>
72
      df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
73
      df <- within(df, Isol <- 0)</pre>
74
75
      result <- rbind(result, df)</pre>
76
77
      # Phase 4.1 from April 8 to April 12 (5 days)
78
      phase_four_1_times <- 25:30</pre>
79
      state <- c(</pre>
80
        S = last_state$S,
81
        E = last_state
82
        I_s = last_state$I_s,
83
        I_a = last_state$I_a,
84
        R_ps = last_state$R_ps,
85
        R_pa = last_state$R_pa,
86
        R_n = last_state R_n,
87
        H = last_state$H,
88
        \mathbf{Q} = \mathbf{0}
89
      )
90
91
      model <- ode(state, phase_four_1_times, phase_four, params)</pre>
92
      df <- as.data.frame(model)[-1, ]</pre>
93
94
      # Saving last state for the next phase
95
      last_state <- tail(df, n = 1)</pre>
96
97
      # Combining compartments into SEIR
98
      df <- within(df, I <- I_s + I_a)</pre>
99
      df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
100
      df <- within(df, Isol <- Q)</pre>
101
102
```

```
result <- rbind(result, df)</pre>
103
104
      # Phase 4.2 from April 13 to April 18 (7 days)
105
      # I_s, I_a, R_ps, R_pa comparments lose (SensitivityPCR * n_
106
         individuals in each compartment on test day)
      # to Q (Isol)
107
      sensitivity_pcr <- unname(params["sensitivity_pcr"])</pre>
108
      phase_four_2_times <- 30:36</pre>
109
      state <- c(
110
        S = last_state
111
        E = last_state
112
        I_s = last_state$I_s * (1 - sensitivity_pcr),
113
        I_a = last_state$I_a * (1 - sensitivity_pcr),
114
        R_ps = last_state$R_ps * (1 - sensitivity_pcr),
115
        R_pa = last_state$R_pa * (1 - sensitivity_pcr),
116
        R_n = last_state R_n,
117
        H = last_state$H,
118
        Q = last_state$Q + sensitivity_pcr * (last_state$I_s + last_state$I
119
            _a + last_state$R_ps + last_state$R_pa)
      )
120
121
      model <- ode(state, phase_four_2_times, phase_four, params)</pre>
122
      df <- as.data.frame(model)</pre>
123
124
      # Saving last state for the next phase
125
      last_state <- tail(df, n = 1)</pre>
126
127
      # Combining compartments into SEIR
128
      df <- within(df, I <- I_s + I_a)
129
      df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
130
      df <- within(df, Isol <- Q)</pre>
131
132
      result <- rbind(result, df)</pre>
133
134
      # Phase 4.3 from April 18 to April 30 (13 days)
135
      # I_s, I_a, R_ps, R_pa comparaments lose (SensitivityPCR *
136
         nindividuals in each compartment on test day)
      # to 0 (Isol)
137
      phase_four_3_times <- 36:48</pre>
138
      state <- c(</pre>
139
        S = last_state$S,
140
        E = last_state
141
        I_s = last_state$I_s * (1 - sensitivity_pcr),
142
        I_a = last_state$I_a * (1 - sensitivity_pcr),
143
        R_ps = last_state$R_ps * (1 - sensitivity_pcr),
144
        R_pa = last_state$R_pa * (1 - sensitivity_pcr),
145
        R_n = last_state R_n,
146
```

```
H = last_state$H,
147
        Q = last_state$Q + sensitivity_pcr * (last_state$I_s + last_state$I
148
            _a + last_state$R_ps + last_state$R_pa)
      )
149
150
      model <- ode(state, phase_four_3_times, phase_four, params)</pre>
151
      df <- as.data.frame(model)</pre>
152
153
      # Saving last state for the next phase
154
      last_state <- tail(df, n = 1)</pre>
155
156
      # Combining compartments into SEIR
157
      df <- within(df, I <- I_s + I_a)</pre>
158
      df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
159
      df <- within(df, Isol <- Q)</pre>
160
161
      result <- rbind(result, df)</pre>
162
163
      # Phase 4.4 from April 30 to May 13 (14 days)
164
      # I_s, I_a, R_ps, R_pa compartments lose (SensitivityPCR *
165
          nindividuals in each compartment on test day)
      # to Q (Isol)
166
      phase_four_4_times <- 48:61</pre>
167
      state <- c(</pre>
168
        S = last_state
169
        E = last_state
170
        I_s = last_state$I_s * (1 - sensitivity_pcr),
171
        I_a = last_state$I_a * (1 - sensitivity_pcr),
172
        R_ps = last_state$R_ps * (1 - sensitivity_pcr),
173
        R_pa = last_state$R_pa * (1 - sensitivity_pcr),
174
        R_n = last_state R_n,
175
        H = last_state$H,
176
        Q = last_state$Q + sensitivity_pcr * (last_state$I_s + last_state$I
177
            _a + last_state$R_ps + last_state$R_pa)
      )
178
179
      model <- ode(state, phase_four_4_times, phase_four, params)</pre>
180
      df <- as.data.frame(model)</pre>
181
182
      # Combining compartments into SEIR
183
      df <- within(df, I <- I_s + I_a)
184
      df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
185
      df <- within(df, Isol <- Q)</pre>
186
187
      result <- rbind(result, df)</pre>
188
      rownames(result) <- NULL</pre>
189
      result <- within(result, CI <- I + R + Isol)
190
```

```
return(result)
191
    }
192
193
    starting_state <- c(</pre>
194
      S = 444,
195
      E = 0,
196
      I_{s} = 1,
197
      I_a = 0,
198
      R_ps = 0,
199
      R_pa = 0,
200
      \mathbf{R}_{n} = \mathbf{0},
201
      H = 0
202
    )
203
204
    result <- shelter(starting_state, params)</pre>
205
    result <- subset(result, select = -c(I_s, I_a, R_ps, R_pa, R_n, H, Q))</pre>
206
    shelter_plot(result, header = "Chicago Shelter COVID-19 Outbreak, Base
207
        Model")
```

B.7 Parameters fitting

```
Listing B.7: fit.R
```

```
require(deSolve)
1
2
   # Error function to be minimized
3
   rmsle <- function(x) {</pre>
4
     current_params <- c(</pre>
5
       beta_0 = x[1],
6
       beta_f = x[1] * x[2],
7
       omega_0 = x[3],
8
       t_trans = x[4],
9
       k = x[5],
10
       p = x[6],
11
12
       sigma_s = 1 / x[7] * (1 - x[6]),
13
       sigma_a = 1 / x[7] * x[6],
14
15
       gamma_sp = 1 / x[8],
16
       gamma_ap = 1 / x[9],
17
18
       gamma_sn = 1 / (x[10] - x[8]),
19
       gamma_an = 1 / (x[11] - x[9]),
20
       alpha = x[12],
21
       omega = x[13],
22
       sensitivity_pcr = x[14],
23
```

```
rho = 1 / 14
24
     )
25
26
     state <- c(</pre>
27
       S = 444,
28
       E = 0,
29
       I_{s} = 1,
30
       I_a = 0,
31
       R_ps = 0,
32
       R_pa = 0,
33
       \mathbf{R}_{n} = \mathbf{0},
34
       H = 0
35
     )
36
37
     df <- shelter(state, current_params)</pre>
38
     sensitivity_pcr <- unname(current_params["sensitivity_pcr"])</pre>
39
40
     result <- c(</pre>
41
          (log1p(df$CI[1]) - log1p(1))^2,
42
          (log1p(df$CI[2]) - log1p(1))^2,
43
          (log1p(df$CI[3]) - log1p(1))^2,
44
          (log1p(df$CI[4]) - log1p(2))^2,
45
          (log1p(df$CI[5]) - log1p(3))^2,
46
          (log1p(df$CI[6]) - log1p(3))^2,
47
          (log1p(df$CI[7]) - log1p(4))^2,
48
          (log1p(df$CI[8]) - log1p(4))^2,
49
          (log1p(df$CI[9]) - log1p(5))^2,
50
          (log1p(df$CI[10]) - log1p(6))^2,
51
          (log1p(df$CI[11]) - log1p(6))^2,
52
          (log1p(df$CI[12]) - log1p(6))^2,
53
          (log1p(df$CI[13]) - log1p(7))^2,
54
          (log1p(df$CI[14]) - log1p(9))^2,
55
          (log1p(df$CI[15]) - log1p(9))^2,
56
          (log1p(df$CI[16]) - log1p(9))^2,
57
          (log1p(df$CI[17]) - log1p(10))^2
58
     )
59
     result <- c(result, (log1p(df$CI[22] - df$CI[17]) - log1p(18)) ^ 2)</pre>
60
     result <- c(result, (log1p(df$H[22] - df$H[17]) - log1p(7)) ^ 2)
61
62
     result <- c(result, (log1p(df$H[25] - df$H[22]) - log1p(26)) ^ 2)</pre>
63
64
     result <- c(result,
65
          2 * (log1p(sensitivity_pcr * (df$I_s[30] + df$I_a[30] + df$R_ps
66
              [30] + df$R_pa[30])) - log1p(166)) ^ 2,
          2 * (log1p(sensitivity_pcr * (df$I_s[37] + df$I_a[37] + df$R_ps
67
              [37] + df$R_pa[37])) - log1p(24)) ^ 2,
```

```
2 * (log1p(sensitivity_pcr * (df$I_s[50] + df$I_a[50] + df$R_ps
68
             [50] + df$R_pa[50])) - log1p(23)) ^ 2,
         2 * (log1p(sensitivity_pcr * (df$I_s[61] + df$I_a[61] + df$R_ps]
69
             [61] + df$R_pa[61])) - log1p(1)) ^ 2
     )
70
     result <- c(result,
71
          (log1p(df$H[37] - df$H[31]) - log1p(20)) ^ 2,
72
          (log1p(df$H[50] - df$H[38]) - log1p(4)) ^ 2,
73
          (log1p(df$H[61] - df$H[51]) - log1p(0)) ^ 2
74
     )
75
     return(sqrt(abs(mean(result))))
76
77
   }
   # Fitting following params:
78
79
   # beta_0 - initial Beta
80
   # beta_f_pct - final Beta as a percentage of beta_0
81
   # omega_0 - Rate of hospital admission of Infectious symptomatic
82
       persons before screening
   # t_trans - Day where beta reaches halfway between beta_0 and beta_f
83
   # k - Rate of transformation of beta
84
   # p - Asymptomatic percentage
85
86
   # incubation_period - Time between E and I compartments (days)
87
   # sigma_s = 1/(incubation period) * (% symptomatic),
88
   # sigma_a = 1/(incubation period) * (% asymptomatic)
89
90
   # Infectious period for symptomatic persons (days)
91
   # gamma_sp = 1/(infectious period for symptomatic persons)
92
93
   # Infectious period for asymptomatic persons (days)
94
   # gamma_ap = 1/(infectious period for asymptomatic persons)
95
96
   # Period of RT- PCR-positivity for symptomatic persons (days)
97
   # gamma_sn = 1/[(duration of RT-PCR-positivity for symptomatic persons)
98
        - (infectious period)]
99
   # Period of RT- PCR-positivity for asymptomatic persons (days)
100
   # gamma_an = 1/[(duration of RT-PCR-positivity for asymptomatic persons
101
       ) - (infectious period)]
102
   # alpha - Rate of detection of symptomatic infectious persons through
103
       screening
   # omega - Rate of hospital admission of Isolsoft symptomatic persons
104
       during phase 2
   # sensitivity_pcr
105
106
   # starting values are mean of the boundaries
107
```

```
lower_params <- c(0, 0, 0.05, 1, 0.01, 0.18, 2.8, 3, 3, 16, 3, 0.01,
108
       0.05, 0.72)
   upper_params <- c(1, 1, 1.0, 50, 2, 0.87, 4.0, 8, 8, 35, 35, 1, 1, 0.9)
109
   starting_params <- (lower_params + upper_params) / 2</pre>
110
111
   result <- optim(</pre>
112
      par = starting_params,
113
      fn = rmsle,
114
      gr = NULL,
115
      control = list(maxit = 100000, pgtol = 1e-16, retol=1e-16),
116
      method = "L-BFGS-B",
117
      lower = lower_params,
118
      upper = upper_params,
119
      hessian = TRUE
120
   )
121
122
   result_params <- c(</pre>
123
      beta_0 = result$par[1],
124
      beta_f_pct = result$par[2],
125
      omega_0 = result par[3],
126
      t_trans = result$par[4],
127
      k = result par[5],
128
      p = result par[6],
129
130
      sigma_s = 1 / result$par[7] * (1 - result$par[6]),
131
      sigma_a = 1 / result$par[7] * result$par[6],
132
133
      gamma_sp = 1 / result$par[8],
134
      gamma_ap = 1 / result$par[9],
135
136
      gamma_sn = 1 / (result$par[10] - result$par[8]),
137
      gamma_an = 1 / (result$par[11] - result$par[9]),
138
      alpha = result$par[12],
139
      omega = result$par[13],
140
      sensitivity_pcr = result$par[14]
141
   )
142
143
   print(result)
144
   print(result_params)
145
```

Appendix C: Alternative model

C.1 Fitted parameters

Listing C.1: fitted_parameters.R

```
params <- c(
1
     sigma_s = 0.35714286,
2
     sigma_a = 0.25000000,
3
     gamma_sp = 0.12500000,
4
     gamma_ap = 0.12500000,
5
     gamma_sn = 0.03703704,
6
     gamma_an = 0.03703704,
7
     omega = 0.56447563,
8
     omega_0 = 0.83792416,
9
     alpha = 0.37555100,
10
     beta_0 = 1,
11
     beta_f = 1 * 0.07572578,
12
     t_trans = 29.15235226,
13
     k = 0.01,
14
     rho = 1 / 14,
15
     sensitivity_pcr = 0.9,
16
     p = 0.44804812
17
18
  )
```

C.2 Phase one

Listing C.2: phase_one.R

```
require(deSolve)
1
2
  # Alternative SEIR model implementation for phase 1
3
  # Drawing an example plot for the test
4
5
  phase_one_alternative <- function(time, current_state, params){</pre>
6
7
    with(as.list(c(current_state, params)),{
8
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
9
10
       N \leq S + E_s + E_a + I_s + I_a + R_pa + R_ps + R_n + H
11
12
       dS <- -beta * S * (I_s + I_a) / N
13
14
       dE_s <- (1 - p) * beta * S * (I_s + I_a) / N - sigma_s * E_s
15
```

```
dE_a <- p * beta * S * (I_s + I_a) / N - sigma_a * E_a
16
17
       dI_s <- sigma_s * E_s - gamma_sp * I_s - omega_0 * I_s
18
       dI_a <- sigma_a * E_a - gamma_ap * I_a
19
20
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
21
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
22
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa
23
24
       dH <- omega_0 * I_s
25
26
        return(list(c(dS, dE_s, dE_a, dI_s, dI_a, dR_ps, dR_pa, dR_n, dH)))
27
     })
28
   }
29
30
   phase_one_times <- 0:80</pre>
31
   starting_state <- c(</pre>
32
     S = 444,
33
     E_s = 0,
34
     E_a = 0,
35
     I_{s} = 1,
36
     I_a = 0,
37
     R_ps = 0,
38
     R_pa = 0,
39
     \mathbf{R}_{n} = \mathbf{0},
40
     H = 0
41
42
   )
43
   model <- ode(starting_state, phase_one_times, phase_one_alternative,</pre>
44
       params)
45
  # Combining compartments into SEIR
46
   df <- as.data.frame(model)</pre>
47
  df <- within(df, I <- I_s + I_a)</pre>
48
   df <- within(df, E <- E_s + E_a)</pre>
49
   df <- within(df, R <- R_ps + R_pa + R_n)</pre>
50
  df <- within(df, Isol <- H)</pre>
51
  df <- within(df, CI <- I + R + Isol)</pre>
52
  df <- subset(df, select = -c(E_s, E_a, I_s, I_a, R_ps, R_pa, R_n, H))
53
54
  seir_plot(df, "Alternative Model, Phase One (Extended)", starting_date
55
       = as.Date("2020-03-14"))
```

C.3 Phase two

```
Listing C.3: phase_two.R
```

```
require(deSolve)
1
2
   # Alternative SEIR model implementation for phase 2
3
   # Drawing an example plot for the test
4
5
   phase_two_alternative <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
7
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
9
       N < -S + E_s + E_a + I_s + I_a + R_pa + R_ps + R_n + Q + H
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
13
       dE_s <- (1 - p) * beta * S * (I_s + I_a) / N - sigma_s * E_s
14
       dE_a <- p * beta * S * (I_s + I_a) / N - sigma_a * E_a
15
16
       dI_s <- sigma_s * E_s - gamma_sp * I_s - alpha * I_s
17
       dI_a <- sigma_a * E_a - gamma_ap * I_a
18
19
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
20
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
21
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa
22
23
       dQ <- -omega * Q + alpha * I_s
24
       dH <- omega * Q
25
26
        return(list(c(dS, dE_s, dE_a, dI_s, dI_a, dR_ps, dR_pa, dR_n, dQ,
27
           dH)))
     })
28
   }
29
30
   phase_two_times <- 1:80</pre>
31
   starting_state <- c(</pre>
32
     S = 444,
33
     E_s = 0,
34
     E_a = 0,
35
     I_s = 1,
36
     I_a = 0,
37
     R_ps = 0,
38
39
     R_pa = 0,
     \mathbf{R}_{n} = \mathbf{0},
40
     H = 0,
41
     \mathbf{Q} = \mathbf{O}
42
   )
43
44
```

```
model <- ode(starting_state, phase_two_times, phase_two_alternative,</pre>
45
      params)
46
  # Combining compartments into S-E-I-R
47
  df <- as.data.frame(model)</pre>
48
  df <- within(df, E <- E_s + E_a)
49
  df <- within(df, I <- I_s + I_a)</pre>
50
  df <- within(df, R <- R_ps + R_pa + R_n)
51
  df <- within(df, Isol <- H)</pre>
52
  df <- within(df, CI <- I + R + Isol)</pre>
53
   df <- subset(df, select = -c(E_s, E_a, I_s, I_a, R_ps, R_pa, R_n, H, Q)
54
       )
55
  seir_plot(df, header = "Alternative Model, Phase Two (Extended)",
56
      starting_date = as.Date("2020-03-14"))
```

C.4 Phase three

Listing C.4: phase_three.R

```
require(deSolve)
1
2
  # Alternative SEIR model implementation for phase 3
3
  # Drawing an example plot for the test
4
5
   phase_three_alternative <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
9
       N <- S + E_s + E_a + I_s + I_a + R_pa + R_ps + R_n + H
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
13
       dE_s <- (1 - p) * beta * S * (I_s + I_a) / N - sigma_s * E_s
14
       dE_a <- p * beta * S * (I_s + I_a) / N - sigma_a * E_a
15
16
       dI_s <- sigma_s * E_s - gamma_sp * I_s - alpha * I_s
17
       dI_a <- sigma_a * E_a - gamma_ap * I_a
18
19
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
20
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
21
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa
22
23
       dH <- alpha * I_s
24
25
       return(list(c(dS, dE_s, dE_a, dI_s, dI_a, dR_ps, dR_pa, dR_n, dH)))
26
```

```
})
27
   }
28
29
   phase_three_times <- 1:80</pre>
30
   state <- c(</pre>
31
     S = 444,
32
     E_s = 0,
33
     E_a = 0,
34
     I_s = 1,
35
     I_a = 0,
36
     R_ps = 0,
37
     R_pa = 0,
38
     \mathbf{R}_{n} = \mathbf{0},
39
     H = 0
40
   )
41
42
   model <- ode(state, phase_three_times, phase_three_alternative, params)</pre>
43
44
  # Combining compartments into S-E-I-R
45
   df <- as.data.frame(model)</pre>
46
   df <- within(df, E <- E_s + E_a)</pre>
47
   df <- within(df, I <- I_s + I_a)</pre>
48
   df <- within(df, R <- R_ps + R_pa + R_n)</pre>
49
   df <- within(df, Isol <- H)</pre>
50
   df <- within(df, CI <- I + R + Isol)</pre>
51
   df <- subset(df, select = -c(E_a, E_s, I_s, I_a, R_ps, R_pa, R_n, H))
52
53
   seir_plot(df, header = "Alternative Model, Phase Three (Extended)",
54
       starting_date = as.Date("2020-03-14"))
```

C.5 Phase four

Listing C.5: phase_four.R

```
require(deSolve)
1
2
  # Alternative SEIR model implementation for phase 4
3
  # Drawing an example plot for the test
4
5
  phase_four_alternative <- function(time, current_state, params){</pre>
6
     with(as.list(c(current_state, params)),{
7
       beta <- beta_func(beta_0, beta_f, time, t_trans, k)</pre>
8
9
       N <- S + E_s + E_a + I_s + I_a + R_pa + R_ps + R_n + H + Q
10
11
       dS <- -beta * S * (I_s + I_a) / N
12
```

```
13
       dE_s <- (1 - p) * beta * S * (I_s + I_a) / N - sigma_s * E_s
14
       dE_a <- p * beta * S * (I_s + I_a) / N - sigma_a * E_a
15
16
       dI_s <- sigma_s * E_s - gamma_sp * I_s - alpha * I_s
17
       dI_a <- sigma_a * E_a - gamma_ap * I_a
18
19
       dR_ps <- gamma_sp * I_s - gamma_sn * R_ps
20
       dR_pa <- gamma_ap * I_a - gamma_an * R_pa
21
       dR_n <- gamma_sn * R_ps + gamma_an * R_pa + rho * Q
22
23
       dH <- alpha * I_s
24
       dQ <- - rho * Q
25
26
        return(list(c(dS, dE_s, dE_a, dI_s, dI_a, dR_ps, dR_pa, dR_n, dH,
27
           dQ)))
     })
28
   }
29
30
   phase_four_times <- 1:80</pre>
31
   state <- c(</pre>
32
     S = 444,
33
     E_s = 0,
34
     E_a = 0,
35
     I_{s} = 1,
36
     I_a = 0,
37
     R_ps = 0,
38
     R_pa = 0,
39
     \mathbf{R}_{n} = \mathbf{0},
40
     H = 0,
41
     Q = 10
42
   )
43
44
   model <- ode(state, phase_four_times, phase_four_alternative, params)</pre>
45
46
  # Combining compartments into S-E-I-R
47
  df <- as.data.frame(model)</pre>
48
  df <- within(df, E <- E_s + E_a)</pre>
49
   df <- within(df, I <- I_s + I_a)</pre>
50
  df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
51
  df <- within(df, Isol <- Q)</pre>
52
   df <- within(df, CI <- I + R + Isol)</pre>
53
   df <- subset(df, select = -c(E_s, E_a, I_s, I_a, R_ps, R_pa, R_n, H, Q)
54
       )
55
  seir_plot(df, header = "Alternative Model, Phase Four (Extended)",
56
       starting_date = as.Date("2020-03-14"))
```

C.6 Shelter model

Listing C.6: shelter.R

```
require(deSolve)
1
   shelter_alternative <- function(starting_state, params){</pre>
2
     state <- starting_state</pre>
3
4
     # Phase 1 from March 14 to March 29 (16 days)
5
     phase_one_times <- 1:16</pre>
6
     model <- ode(state, phase_one_times, phase_one_alternative, params)</pre>
7
     df <- as.data.frame(model)</pre>
8
     # Saving last state for the next phase
9
     last_state <- tail(df, n = 1)</pre>
10
11
     # Combining compartments into SEIR
12
     df <- within(df, Q <- 0)
13
     df <- within(df, E <- E_s + E_a)
14
     df <- within(df, I <- I_s + I_a)</pre>
15
     df <- within(df, R <- R_ps + R_pa + R_n + H)
16
     df <- within(df, Isol <- 0)</pre>
17
18
     # Saving phase one results into a common table
19
     result <- data.frame(df)</pre>
20
21
     # Phase 2 from March 30 to April 4 (6 days)
22
     phase_two_times <- 16:22</pre>
23
     state <- c(</pre>
24
       S = last_state
25
       E_s = last_state$E_s,
26
       E_a = last_state$E_a,
27
       I_s = last_state$I_s,
28
       I_a = last_state$I_a,
29
       R_ps = last_state$R_ps,
30
       R_pa = last_state$R_pa,
31
       R_n = last_state R_n,
32
       0 = 0,
33
       H = last_state
34
     )
35
36
     model <- ode(state, phase_two_times, phase_two_alternative, params)</pre>
37
     df <- as.data.frame(model)[-1, ]</pre>
38
39
     # Saving last state for the next phase
40
```

Appendix C: Alternative model

```
last_state <- tail(df, n = 1)</pre>
41
42
     # Combining compartments into SEIR
43
     df <- within(df, E <- E_s + E_a)</pre>
44
     df <- within(df, I <- I_s + I_a)</pre>
45
     df <- within(df, R <- R_ps + R_pa + R_n + Q + H)
46
     df <- within(df, Isol <- 0)</pre>
47
48
     result <- rbind(result, df)</pre>
49
50
     # Phase 3 from April 5 to April 7 (3 days)
51
     phase_three_times <- 22:25</pre>
52
     state <- c(</pre>
53
       S = last_state
54
       E_s = last_state$E_s,
55
       E_a = last_state E_a,
56
       I_s = last_state$I_s,
57
       I_a = last_state$I_a,
58
       R_ps = last_state R_ps,
59
       R_pa = last_state$R_pa,
60
       R_n = last_state$R_n,
61
       H = last_state$H + last_state$Q
62
     )
63
64
     model <- ode(state, phase_three_times, phase_three_alternative,</pre>
65
         params)
     df <- as.data.frame(model)[-1, ]</pre>
66
67
     # Saving last state for the next phase
68
     last_state <- tail(df, n = 1)</pre>
69
70
     # Combining compartments into SEIR
71
     df <- within(df, Q <- 0)</pre>
72
     df <- within(df, E <- E_s + E_a)</pre>
73
     df <- within(df, I <- I_s + I_a)
74
     df <- within(df, R <- R_ps + R_pa + R_n + H)</pre>
75
     df <- within(df, Isol <- 0)</pre>
76
77
     result <- rbind(result, df)</pre>
78
79
     # Phase 4.1 from April 8 to April 12 (5 days)
80
     phase_four_1_times <- 25:30</pre>
81
     state <- c(</pre>
82
       S = last_state$S,
83
       E_s = last_state E_s,
84
       E_a = last_state
85
       I_s = last_state$I_s,
86
```

```
I_a = last_state$I_a,
87
        R_ps = last_state$R_ps,
88
        R_pa = last_state$R_pa,
89
        R_n = last_state$R_n,
90
        H = last_state$H,
91
        0 = 0
92
      )
93
94
      model <- ode(state, phase_four_1_times, phase_four_alternative,</pre>
95
          params)
      df <- as.data.frame(model)[-1, ]</pre>
96
97
      # Saving last state for the next phase
98
      last_state <- tail(df, n = 1)</pre>
99
100
      # Combining compartments into SEIR
101
      df <- within(df, E <- E_s + E_a)</pre>
102
      df <- within(df, I <- I_s + I_a)</pre>
103
      df <- within(df, R <- R_ps + R_pa + R_n + H)
104
      df <- within(df, Isol <- Q)</pre>
105
106
      result <- rbind(result, df)</pre>
107
108
      # Phase 4.2 from April 13 to April 18 (7 days)
109
      # I_s, I_a, R_ps, R_pa compartments lose (SensitivityPCR *
110
          nindividuals in each compartment on test day)
      # to Q (Isol)
111
      sensitivity_pcr <- unname(params["sensitivity_pcr"])</pre>
112
      phase_four_2_times <- 30:36</pre>
113
      state <- c(</pre>
114
        S = last_state
115
        E_s = last_state$E_s,
116
        E_a = last_state
117
        I_s = last_state$I_s * (1 - sensitivity_pcr),
118
        I_a = last_state$I_a * (1 - sensitivity_pcr),
119
        R_ps = last_state$R_ps * (1 - sensitivity_pcr),
120
        R_pa = last_state$R_pa * (1 - sensitivity_pcr),
121
        R_n = last_state R_n,
122
        H = last_state$H,
123
        Q = last_state$Q + sensitivity_pcr * (last_state$I_s + last_state$I
124
            _a + last_state$R_ps + last_state$R_pa)
      )
125
126
      model <- ode(state, phase_four_2_times, phase_four_alternative,</pre>
127
          params)
      df <- as.data.frame(model)</pre>
128
129
```

```
# Saving last state for the next phase
130
      last_state <- tail(df, n = 1)</pre>
131
132
      # Combining compartments into SEIR
133
      df <- within(df, E <- E_s + E_a)</pre>
134
      df <- within(df, I <- I_s + I_a)
135
      df <- within(df, R <- R_ps + R_pa + R_n + H)
136
      df <- within(df, Isol <- Q)</pre>
137
138
      result <- rbind(result, df)</pre>
139
140
      # Phase 4.3 from April 18 to April 30 (13 days)
141
      # I_s, I_a, R_ps, R_pa comparaments lose (SensitivityPCR *
142
         nindividuals in each compartment on test day)
      # to Q (Isol)
143
      phase_four_3_times <- 36:48</pre>
144
      state <- c(
145
        S = last_state$S,
146
        E_s = last_state E_s,
147
        E_a = last_state$E_a,
148
        I_s = last_state$I_s * (1 - sensitivity_pcr),
149
        I_a = last_state$I_a * (1 - sensitivity_pcr),
150
        R_ps = last_state$R_ps * (1 - sensitivity_pcr),
151
        R_pa = last_state$R_pa * (1 - sensitivity_pcr),
152
        R_n = last_state$R_n,
153
        H = last_state$H,
154
        Q = last_state$Q + sensitivity_pcr * (last_state$I_s + last_state$I
155
            _a + last_state$R_ps + last_state$R_pa)
      )
156
157
      model <- ode(state, phase_four_3_times, phase_four_alternative,</pre>
158
         params)
      df <- as.data.frame(model)</pre>
159
160
      # Saving last state for the next phase
161
      last_state <- tail(df, n = 1)</pre>
162
163
      # Combining compartments into SEIR
164
      df <- within(df, E <- E_s + E_a)</pre>
165
      df <- within(df, I <- I_s + I_a)
166
      df <- within(df, R <- R_ps + R_pa + R_n + H)
167
      df <- within(df, Isol <- Q)</pre>
168
169
      result <- rbind(result, df)</pre>
170
171
      # Phase 4.4 from April 30 to May 13 (14 days)
172
```

```
# I_s, I_a, R_ps, R_pa comparaments lose (SensitivityPCR *
173
          nindividuals in each compartment on test day)
      # to Q (Isol)
174
      phase_four_4_times <- 48:61</pre>
175
      state <- c(</pre>
176
        S = last_state
177
        E_s = last_state$E_s,
178
        E_a = last_state
179
        I_s = last_state$I_s * (1 - sensitivity_pcr),
180
        I_a = last_state$I_a * (1 - sensitivity_pcr),
181
        R_ps = last_state$R_ps * (1 - sensitivity_pcr),
182
        R_pa = last_state$R_pa * (1 - sensitivity_pcr),
183
        R_n = last_state$R_n,
184
        H = last_state$H,
185
        Q = last_state$Q + sensitivity_pcr * (last_state$I_s + last_state$I
186
            _a + last_state$R_ps + last_state$R_pa)
      )
187
188
      model <- ode(state, phase_four_4_times, phase_four_alternative,</pre>
189
          params)
      df <- as.data.frame(model)</pre>
190
191
      # Combining compartments into SEIR
192
      df <- within(df, E <- E_s + E_a)</pre>
193
      df <- within(df, I <- I_s + I_a)
194
      df <- within(df, R <- R_ps + R_pa + R_n + H)
195
      df <- within(df, Isol <- Q)</pre>
196
197
      result <- rbind(result, df)</pre>
198
      rownames(result) <- NULL</pre>
199
      result <- within(result, CI <- I + R + Isol)</pre>
200
      return(result)
201
   }
202
203
    starting_state <- c(</pre>
204
      S = 444,
205
      E_s = 0,
206
      E_a = 0,
207
      I_s = 1,
208
      I_a = 0,
209
      R_ps = 0,
210
      R_pa = 0,
211
      \mathbf{R}_{n} = \mathbf{0},
212
      H = 0
213
    )
214
215
   result <- shelter_alternative(starting_state, params)</pre>
216
```

C.7 Parameters fitting

| 1 | require(deSolve) |
|----------|--|
| 2 | |
| 3 | <pre>rmsle_imrpoved <- function(x) {</pre> |
| 4 | params <- c(|
| 5 | $beta_0 = x[1],$ |
| 6 | $beta_f = x[1] * x[2],$ |
| 7 | $omega_0 = x[3],$ |
| 8 | $t_trans = x[4],$ |
| 9 | k = x[5], |
| 10 | p = x[6], |
| 11 | |
| 12 | $sigma_s = 1 / x[7],$ |
| 13 | sigma_a = 1 / x[8], |
| 14 | |
| 15 | $gamma_sp = 1 / x[9],$ |
| 16 | $gamma_ap = 1 / x[10],$ |
| 17 | |
| 18 | $gamma_sn = 1 / (x[11] - x[9]),$ |
| 19 | <pre>gamma_an = 1 / (x[12] - x[10]),</pre> |
| 20 | alpha = x[13], |
| 21 | omega = x[14], |
| 22 | sensitivity_pcr = $x[15]$, |
| 23 | rho = 1 / 14 |
| 24 |) |
| 25 | |
| 26 | <pre>state <- c(S = 444,</pre> |
| 27 28 | $E_{-} = 0,$ |
| 20 | $E_{a} = 0,$ |
| 30 | $I_s = 1,$ |
| 31 | $I_a = 0,$ |
| 32 | $R_{ps} = 0,$ |
| 33 | $R_pa = 0,$ |
| 34 | $R_n = 0$, |
| 35 | H = 0 |
| 36 |) |
| 37 | <pre>df <- shelter_alternative(state, params)</pre> |
| | |

```
sensitivity_pcr <- unname(params["sensitivity_pcr"])</pre>
38
39
     result <- c(
40
       (log1p(df$CI[1]) - log1p(1))^2,
41
       (log1p(df$CI[2]) - log1p(1))^2,
42
       (log1p(df$CI[3]) - log1p(1))^2,
43
       (log1p(df$CI[4]) - log1p(2))^2,
44
       (log1p(df$CI[5]) - log1p(3))^2,
45
       (log1p(df$CI[6]) - log1p(3))^2,
46
       (log1p(df$CI[7]) - log1p(4))^2,
47
       (log1p(df$CI[8]) - log1p(4))^2,
48
       (log1p(df$CI[9]) - log1p(5))^2,
49
       (log1p(df$CI[10]) - log1p(6))^2,
50
       (log1p(df$CI[11]) - log1p(6))^2,
51
       (log1p(df$CI[12]) - log1p(6))^2,
52
       (log1p(df$CI[13]) - log1p(7))^2,
53
       (log1p(df$CI[14]) - log1p(9))^2,
54
       (log1p(df$CI[15]) - log1p(9))^2,
55
       (log1p(df$CI[16]) - log1p(9))^2,
56
       (log1p(df$CI[17]) - log1p(10))^2
57
     )
58
     result <- c(result, (log1p(df$CI[22] - df$CI[17]) - log1p(18)) ^ 2)</pre>
59
     result <- c(result, (log1p(df$H[22] - df$H[17]) - log1p(7)) ^ 2)</pre>
60
61
     result <- c(result, (log1p(df$H[25] - df$H[22]) - log1p(26)) ^ 2)</pre>
62
63
     result <- c(result,
64
                  2 * (log1p(sensitivity_pcr * (df$I_s[30] + df$I_a[30] +
65
                      df$R_ps[30] + df$R_pa[30])) - log1p(166)) ^ 2,
                  2 * (log1p(sensitivity_pcr * (df$1_s[37] + df$1_a[37] +
66
                      df$R_ps[37] + df$R_pa[37])) - log1p(24)) ^ 2,
                  2 * (log1p(sensitivity_pcr * (df$1_s[50] + df$1_a[50] +
67
                      df$R_ps[50] + df$R_pa[50])) - log1p(23)) ^ 2,
                  2 * (log1p(sensitivity_pcr * (df$I_s[61] + df$I_a[61] +
68
                      df$R_ps[61] + df$R_pa[61])) - log1p(1)) ^ 2
69
     )
     result <- c(result,
70
                  (log1p(df$H[37] - df$H[31]) - log1p(20)) ^ 2,
71
                  (log1p(df$H[50] - df$H[38]) - log1p(4)) ^ 2,
72
                  (log1p(df$H[61] - df$H[51]) - log1p(0)) ^ 2
73
     )
74
     return(sqrt(abs(mean(result))))
75
76
77
   ł
   # Fitting following params:
78
79
  # beta_0 - initial Beta
80
```

```
# beta_f_pct - final Beta as a percentage of beta_0
81
   # omega_0 - Rate of hospital admission of Infectious symptomatic
82
       persons before screening
   # t_trans - Day where beta reaches halfway between beta_0 and beta_f
83
   # k - Rate of transformation of beta
84
   # p - Asymptomatic percentage
85
86
   # incubation_period (symptomatic) - Time between E_s and I_s
87
       compartments (days)
   # sigma_s = 1/(incubation period) * (% symptomatic),
88
89
   # incubation_period (asymptomatic) - Time between E_a and I_a
90
       compartments (days)
   # sigma_a = 1/(incubation period) * (% asymptomatic)
91
92
   # Infectious period for symptomatic persons (days)
93
   # gamma_sp = 1/(infectious period for symptomatic persons)
94
95
   # Infectious period for asymptomatic persons (days)
96
   # gamma_ap = 1/(infectious period for asymptomatic persons)
97
98
   # Period of RT- PCR-positivity for symptomatic persons (days)
99
   # gamma_sn = 1/[(duration of RT-PCR-positivity for symptomatic persons)]
100
        - (infectious period)]
101
   # Period of RT- PCR-positivity for asymptomatic persons (days)
102
   # gamma_an = 1/[(duration of RT-PCR-positivity for asymptomatic persons
103
       ) - (infectious period)]
104
   # alpha - Rate of detection of symptomatic infectious persons through
105
       screening
   # omega - Rate of hospital admission of Isolsoft symptomatic persons
106
       during phase 2
   # sensitivity_pcr
107
108
   # starting values are mean of the boundaries
109
   lower_params <- c(0, 0, 0.05, 1, 0.01, 0.18, 2.8, 2.8, 3, 3, 16, 3,
110
       0.01, 0.05, 0.72)
   upper_params <- c(1, 1, 1.0, 50, 2, 0.87, 4.0, 4.0, 8, 8, 35, 35, 1, 1,
111
        0.9)
   starting_params <- (lower_params + upper_params) / 2</pre>
112
113
   result <- optim(</pre>
114
     par = starting_params,
115
     fn = rmsle_imrpoved,
116
     gr = NULL,
117
     control = list(maxit = 100000),
118
```

```
method = "L-BFGS-B",
119
      lower = lower_params,
120
      upper = upper_params,
121
      hessian = TRUE
122
   )
123
124
   result_params <- c(</pre>
125
      beta_0 = result$par[1],
126
      beta_f_pct = result$par[2],
127
      omega_0 = result par[3],
128
      t_trans = result$par[4],
129
      k = result; [5],
130
      p = result$par[6],
131
132
      sigma_s = 1 / result$par[7],
133
      sigma_a = 1 / result$par[8],
134
135
      gamma_sp = 1 / result$par[9],
136
      gamma_ap = 1 / result$par[10],
137
138
      gamma_sn = 1 / (result$par[11] - result$par[9]),
139
      gamma_an = 1 / (result$par[12] - result$par[10]),
140
      alpha = result$par[13],
141
      omega = result$par[14],
142
      sensitivity_pcr = result$par[15]
143
   )
144
   print(result)
145
   print(result_params)
146
```

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Statutory Declaration in Lieu of an Oath

I – Dmitrii Rudanov – do hereby declare in lieu of an oath that I have composed the presented work independently on my own and without any other resources than the ones given.

All thoughts taken directly or indirectly from external sources are correctly acknowledged.

This work has neither been previously submitted to another authority nor has it been published yet.

Mittweida, 07. November 2023

Location, Date

Dmitrii Rudanov, B.Sc.